



RECEIVING COPY

July 27, 2021

THE HONORABLE DENNIS FUNA
Commissioner
INSURANCE COMMISSION
1071 United Nations Avenue,
Metro Manila



Attn : ATTY. BRYAN SIBUYAN
Regulation, Enforcement & Prosecution Division

Re : 2021 General Information Sheet (GIS)
Philippine AXA Life Insurance Corporation

Dear Commissioner Funa:

In compliance with IC CL No. 2015-19, we submit the General Information Sheet for the year 2021 of Philippine AXA Life Insurance Corporation duly received by the Securities and Exchange Commission on July 17, 2021.

We trust that you will find everything in order.

Very truly yours,

AXA PHILIPPINES

By:

Dan David Vincent D. Antonio
Legal Director



SECURITIES AND EXCHANGE COMMISSION

Secretariat Building, PICC Complex, Roxas Boulevard, Pasay City, 1307 Metro Manila Philippines

Tel: (632) 818-0921 Fax: (632) 818-5293 Email: mis@sec.gov.ph



The following document has been received:

Receiving: DOMSUN BRIONES

Receipt Date and Time: July 15, 2021 04:07:13 PM

Company Information

SEC Registration No.: 0000021938

Company Name: PHILIPPINE AXA LIFE INSURANCE CORPORATION DOING BUSINESS UNDER THE NAME AND STYLE OF AXA PHILIPPINES, PHILIPPINE AXA LIFE, AXA LIFE PHILIPPINES, AXA LIFE INSURANCE PHILIPPINES,

Industry Classification:

Company Type:

Document Information

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GENERAL INFORMATION SHEET (GIS)

FOR THE YEAR 2021

STOCK CORPORATION

GENERAL INSTRUCTIONS:

1. FOR USER CORPORATION: THIS GIS SHOULD BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS FROM THE DATE OF THE ANNUAL STOCKHOLDERS' MEETING. **DO NOT LEAVE ANY ITEM BLANK.** WRITE "N.A." IF THE INFORMATION REQUIRED IS NOT APPLICABLE TO THE CORPORATION OR "NONE" IF THE INFORMATION IS NON-EXISTENT. IF THE ANNUAL STOCKHOLDERS' MEETING IS HELD ON A DATE OTHER THAN THAT STATED IN THE BY-LAWS, THE GIS SHALL BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS AFTER THE ELECTION OF THE DIRECTORS, TRUSTEES AND OFFICERS OF THE CORPORATION AT THE ANNUAL MEMBERS' MEETING.
2. IF NO MEETING IS HELD, THE CORPORATION SHALL SUBMIT THE GIS NOT LATER THAN JANUARY 30 OF THE FOLLOWING YEAR. HOWEVER, SHOULD AN ANNUAL STOCKHOLDERS' MEETING BE HELD THEREAFTER, A NEW GIS SHALL BE SUBMITTED/FILED.
3. THIS GIS SHALL BE ACCOMPLISHED IN ENGLISH AND CERTIFIED AND SWORN TO BY THE **CORPORATE SECRETARY** OF THE CORPORATION.
4. THE SEC SHOULD BE TIMELY APPRISED OF RELEVANT CHANGES IN THE SUBMITTED INFORMATION AS THEY ARISE. FOR CHANGES RESULTING FROM ACTIONS THAT AROSE BETWEEN THE ANNUAL MEETINGS, THE CORPORATION SHALL SUBMIT AMENDED GIS CONTAINING THE NEW INFORMATION TOGETHER WITH A COVER LETTER SIGNED THE CORPORATE SECRETARY OF THE CORPORATION. THE AMENDED GIS AND COVER LETTER SHALL BE SUBMITTED WITHIN SEVEN (7) DAYS AFTER SUCH CHANGE OCCURED OR BECAME EFFECTIVE.
5. SUBMIT FOUR (4) COPIES OF THE GIS TO THE RECEIVING SECTION AT THE SEC MAIN OFFICE, OR TO SEC SATELLITE OFFICES OR EXTENSION OFFICES. ALL COPIES SHALL UNIFORMLY BE ON A4 OR LETTER-SIZED PAPER. THE PAGES OF ALL COPIES SHALL USE ONLY ONE SIDE
6. **ONLY THE GIS ACCOMPLISHED IN ACCORDANCE WITH THESE INSTRUCTIONS SHALL BE CONSIDERED AS HAVING BEEN FILED.**
7. THIS GIS MAY BE USED AS EVIDENCE AGAINST THE CORPORATION AND ITS RESPONSIBLE DIRECTORS/OFFICERS FOR ANY VIOLATION OF EXISTING LAWS, RULES AND REGULATIONS

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME:		DATE REGISTERED:	
PHILIPPINE AXA LIFE INSURANCE CORPORATION		12/4/1962	
BUSINESS/TRADE NAME:		FISCAL YEAR END:	
"AXA PHILIPPINES", "PHILIPPINE AXA", and "AXA		December 31	
SEC REGISTRATION NUMBER:		CORPORATE TAX IDENTIFICATION NUMBER (TIN)	
21938		000-485-226-000	
DATE OF ANNUAL MEETING PER BY-LAWS:		WEBSITE/URL ADDRESS:	
Any day in April		www.axa.com.ph	
ACTUAL DATE OF ANNUAL MEETING:		E-MAIL ADDRESS:	
28-Apr-2021		www.axa.com.ph	
COMPLETE PRINCIPAL OFFICE ADDRESS:		FAX NUMBER:	
34F GT Tower International, 6813 Ayala Ave. corner H.V. Dela Costa Street, Makati City, 1227 Metro Manila, Philippines		+632 403 2536	
OFFICIAL E-MAIL ADDRESS:	ALTERNATE E-MAIL ADDRESS:	OFFICIAL MOBILE NUMBER:	ALTERNATE MOBILE NUMBER:
dandavidvincent.antonio@axa.com.ph	philip.gayda@axa.com.ph	0919-066-2016	0919-0895-098
NAME OF EXTERNAL AUDITOR & ITS SIGNING PARTNER:		SEC ACCREDITATION NUMBER (if applicable):	TELEPHONE NUMBER(S):
SYCIP GORRES VELAYO & Co., and Arnel F. De Jesus		0012-FR-3	+632 885 0101
PRIMARY PURPOSE/ACTIVITY/INDUSTRY PRESENTLY ENGAGED IN:		INDUSTRY CLASSIFICATION:	GEOGRAPHICAL CODE:
LIFE INSURANCE		INSURANCE	N.A.
===== INTERCOMPANY AFFILIATIONS =====			
PARENT COMPANY	SEC REGISTRATION NO.	ADDRESS	
	N.A.	N.A.	
SUBSIDIARY/AFFILIATE	SEC REGISTRATION NO.	ADDRESS	
CHARTER PING AN INSURANCE CORPORATION UNDER THE TRADE NAMES: OF "AXA PHILIPPINES", "PHILIPPINE AXA", and "AXA"	17085	29 F GT Tower International, 6813 Ayala Ave. corner H.V. Dela Costa Street, Makati City, 1227 Metro Manila, Philippines	

NOTE: USE ADDITIONAL SHEET IF NECESSARY

GENERAL INFORMATION SHEET

STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

Corporate Name: PHILIPPINE AXA LIFE INSURANCE CORPORATION

A. Is the Corporation a covered person under the Anti Money Laundering Act (AMLA), as amended? (Rep. Acts. 9160/9164/10167/10365) ☒ Yes ☐ No

Please check the appropriate box:

1.

- ☐ a. Banks
- ☐ b. Offshore Banking Units
- ☐ c. Quasi-Banks
- ☐ d. Trust Entities
- ☐ e. Non-Stock Savings and Loan Associations
- ☐ f. Pawnshops
- ☐ g. Foreign Exchange Dealers
- ☐ h. Money Changers
- ☐ i. Remittance Agents
- ☐ j. Electronic Money Issuers
- ☐ k. Financial Institutions which Under Special Laws are subject to Bangko Sentral ng Pilipinas' (BSP) supervision and/or regulation, including their subsidiaries and affiliates.

2.

- ☒ a. Insurance Companies
- ☐ b. Insurance Agents
- ☐ c. Insurance Brokers
- ☐ d. Professional Reinsurers
- ☐ e. Reinsurance Brokers
- ☐ f. Holding Companies
- ☐ g. Holding Company Systems
- ☐ h. Pre-need Companies
- ☐ i. Mutual Benefit Association
- ☐ j. All Other Persons and entities supervised and/or regulated by the Insurance Commission (IC)

3.

- ☐ a. Securities Dealers
- ☐ b. Securities Brokers
- ☐ c. Securities Salesman
- ☐ d. Investment Houses
- ☐ e. Investment Agents and Consultants
- ☐ f. Trading Advisors
- ☐ g. Other entities managing Securities or rendering similar services
- ☐ h. Mutual Funds or Open-end Investment Companies
- ☐ i. Close-end Investment Companies
- ☐ j. Common Trust Funds or Issuers and other similar entities
- ☐ k. Transfer Companies and other similar entities
- ☐ l. Other entities administering or otherwise dealing in currency, commodities or financial derivatives based there on
- ☐ m. Entities administering or otherwise dealing in valuable objects
- ☐ n. Entities administering or otherwise dealing in cash Substitutes and other similar monetary instruments or property supervised and/or regulated by the Securities and Exchange Commission (SEC)

4. ☐ Jewelry dealers in precious metals, who, as a business, trade in precious metals5. ☐ Jewelry dealers in precious stones, who, as a business, trade in precious stone

6. Company service providers which, as a business, provide any of the following services to third parties:

- ☐ a. acting as a formation agent of juridical persons
- ☐ b. acting as (or arranging for another person to act as) a director or corporate secretary of a company, a partner of a partnership, or a similar position in relation to other juridical persons
- ☐ c. providing a registered office, business address or accommodation, correspondence or administrative address for a company, a partnership or any other legal person or arrangement
- ☐ d. acting as (or arranging for another person to act as) a nominee shareholder for another person

7. Persons who provide any of the following services:

- ☐ a. managing of client money, securities or other assets
- ☐ b. management of bank, savings or securities accounts
- ☐ c. organization of contributions for the creation, operation or management of companies
- ☐ d. creation, operation or management of juridical persons or arrangements, and buying and selling business entities

8. ☐ None of the aboveDescribe
nature of
business:B. Has the Corporation complied with the requirements on Customer Due Diligence (CDD) or Know Your Customer (KYC), record-keeping, and submission of reports under the AMLA, as amended, since the last filing of its GIS? ☒ Yes ☐ No

STOCK CORPORATION

===== **PLEASE PRINT LEGIBLY** =====

CORPORATE NAME: PHILIPPINE AXA LIFE INSURANCE CORPORATION

CAPITAL STRUCTURE

AUTHORIZED CAPITAL STOCK **1,000,000,000.00**

	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP) (No. of shares X Par/Stated Value)
	Common	10,000,000	100.00	1,000,000,000.00
TOTAL		10,000,000	TOTAL P	1,000,000,000.00

SUBSCRIBED CAPITAL

FILIPINO	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	NUMBER OF SHARES IN THE HANDS OF THE PUBLIC **	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
	41	Common	5,499,906	149,105	100.00	549,990,600.00	55.00%
		Treasury	207		100.00	20,700.00	
TOTAL			5,500,113	TOTAL	TOTAL P	550,011,300.00	

FOREIGN (INDICATE BY NATIONALITY)	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	NUMBER OF SHARES IN THE HANDS OF THE PUBLIC **	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
French	1	Common	4,499,847		100.00	449,984,700.00	45.00%
British	1	Common	10		100.00	1,000.00	
Belgian	1	Common	10		100.00	1,000.00	
Indian	1	Common	10		100.00	1,000.00	
Korean	1	Common	10		100.00	1,000.00	

Percentage of Foreign Equity : **TOTAL 4,499,887** **TOTAL P 449,988,700.00**

TOTAL SUBSCRIBED P 1,000,000,000.00

PAID-UP CAPITAL

FILIPINO	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
	41	Common	5,499,906	100.00	549,990,600.00	55.00%
		Treasury	207	100.00	20,700.00	
TOTAL			5,500,113	TOTAL P	550,011,300.00	

FOREIGN (INDICATE BY NATIONALITY)	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
French	1	Common	4,499,847	100.00	449,984,700.00	45.00%
British	1	Common	10	100.00	1,000.00	
Belgian	1	Common	10	100.00	1,000.00	
Indian	1	Common	10	100.00	1,000.00	
Korean	1	Common	10	100.00	1,000.00	

TOTAL 4,499,887 **TOTAL P 449,988,700.00**

TOTAL PAID-UP P 1,000,000,000.00

NOTE: USE ADDITIONAL SHEET IF NECESSARY

* Common, Preferred or other classification

** Other than Directors, Officers, Shareholders owning 10% of outstanding shares.

GENERAL INFORMATION SHEET

STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME: PHILIPPINE AXA LIFE INSURANCE CORPORATION

DIRECTORS / OFFICERS

NAME/CURRENT RESIDENTIAL ADDRESS	NATIONALITY	INC'R	BOARD	GENDER	STOCK HOLDER	OFFICER	EXEC. COMM.	TAX IDENTIFICATION NUMBER
1. Solomon S. Cua [REDACTED]	Filipino	N	C	M	Y	Chairman	C,A,N /C/M	[REDACTED]
2. Arthur V. Ty [REDACTED]	Filipino	N	M	M	Y	Vice Chairman		[REDACTED]
3. Fernand Antonio A. Tansingco [REDACTED]	Filipino	N	M	M	Y	Vice Chairman	M	[REDACTED]
4. Rahul Hora [REDACTED]	Indian	N	M	M	Y	President & CEO	N/M	[REDACTED]
5. Carmelo Maria L. Bautista [REDACTED]	Filipino	N	M	M	Y		M	[REDACTED]
6. Alesandra T. Ty [REDACTED]	Filipino	N	M	F	Y	Treasurer	M	[REDACTED]
7. Gordon T. Watson [REDACTED]	British	N	M	M	Y		C/C	[REDACTED]
8. Francoise Gilles [REDACTED]	Belge	N	M	F	Y		A/M	[REDACTED]
9. Dongjun Choi [REDACTED]	Korean	N	M	M	Y			[REDACTED]
10. Jaime C. Laya [REDACTED]	Filipino	N	I	M	Y		A/C, N	[REDACTED]
11. Lope M. Yuvienco [REDACTED]	Filipino	N	I	M	Y		C, A	[REDACTED]

INSTRUCTION:

FOR GENDER COLUMN, PUT "F" FOR FEMALE, "M" FOR MALE.

FOR BOARD COLUMN, PUT "C" FOR CHAIRMAN, "M" FOR MEMBER, "I" FOR INDEPENDENT DIRECTOR.

FOR INC'R COLUMN, PUT "Y" IF AN INCORPORATOR, "N" IF NOT.

FOR STOCKHOLDER COLUMN, PUT "Y" IF A STOCKHOLDER, "N" IF NOT.

FOR OFFICER COLUMN, INDICATE PARTICULAR POSITION IF AN OFFICER, FROM VP UP INCLUDING THE POSITION OF THE TREASURER, SECRETARY, COMPLIANCE OFFICER AND/OR ASSOCIATED PERSON.

FOR EXECUTIVE COMMITTEE, INDICATE "C" IF MEMBER OF THE COMPENSATION COMMITTEE; "A" FOR AUDIT COMMITTEE; "N" FOR NOMINATION AND ELECTION COMMITTEE. ADDITIONALLY WRITE "C" AFTER SLASH IF CHAIRMAN AND "M" IF MEMBER.

GENERAL INFORMATION SHEET

STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME:**PHILIPPINE AXA LIFE INSURANCE CORPORATION****DIRECTORS / OFFICERS**

NAME/CURRENT RESIDENTIAL ADDRESS	NATIONALITY	INC'R	BOARD	GENDER	STOCK HOLDER	OFFICER	EXEC. COMM.	TAX IDENTIFICATION NUMBER
12. Angelica S. Reyes	Filipino	N		F	N	Corporate Secretary		
13. Dan David Vincent D. Antonio	Filipino	N		M	N	Assistant Corporate Secretary		
14. Aric Justin Ty	Filipino	N		M	N	Assistant Corporate Secretary		
15. Ronaldo C. San Jose	Filipino	N		M	N	Chief Risk Officer		
16. Maria Faustina B. Raymundo	Filipino	N		F	N	Chief Distribution Officer		
17. Fernando Villar	Filipino	N		M	N	Chief Customer Officer		
18. Rosa Maria Lasa Musico	Filipino	N		F	N	Chief of Commercial Business		
19. Jaspreet Kakar	Indian	N		M	N	Chief Human Resources Officer		
20. Alok Kumar Arunkumar Rungta	Indian	N		M	N	Chief of Retail Propositions		
21. Aleta Y. Lepatan	Filipino	N		F	N	Chief Operations Officer		
22. Delfin Jay M. Sabido, IX	Filipino	N		M	N	Chief Transformation Officer		
23. Gael Georges Ann Lapie	French	N		M	N	Chief Financial Officer		

INSTRUCTION:

FOR SEX COLUMN, PUT "F" FOR FEMALE, "M" FOR MALE.

FOR BOARD COLUMN, PUT "C" FOR CHAIRMAN, "M" FOR MEMBER, "I" FOR INDEPENDENT DIRECTOR.

FOR INC'R COLUMN, PUT "Y" IF AN INCORPORATOR, "N" IF NOT.

FOR STOCKHOLDER COLUMN, PUT "Y" IF A STOCKHOLDER, "N" IF NOT.

FOR OFFICER COLUMN, INDICATE PARTICULAR POSITION IF AN OFFICER, FROM VP UP INCLUDING THE POSITION OF THE TREASURER, SECRETARY, COMPLIANCE OFFICER AND/OR ASSOCIATED PERSON.

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GENERAL INFORMATION SHEET

STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME: PHILIPPINE AXA LIFE INSURANCE CORPORATION

TOTAL NUMBER OF STOCKHOLDERS: 46 **NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH:** 20

TOTAL ASSETS BASED ON LATEST AUDITED FINANCIAL STATEMENTS: 132,430,298,863.00

STOCKHOLDER'S INFORMATION

NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED				AMOUNT PAID (PhP)	TAX IDENTIFICATION NUMBER
	TYPE	NUMBER	AMOUNT (PhP)	% OF OWNER-SHIP		
1 AXA ASIA French [REDACTED]	Common	4,499,907	449,990,700.00	45.00%	449,990,700.00	[REDACTED]
	TOTAL	4,499,907	449,990,700.00			
2. First Metro Investment Corporation Filipino [REDACTED]	Common	2,817,889	281,788,900.00	28.18%	281,788,900.00	[REDACTED]
	TOTAL	2,817,889	281,788,900.00			
3. GT Capital Holdings, Inc. Filipino [REDACTED]	Common	2,532,892	253,289,200.00	25.33%	253,289,200.00	[REDACTED]
	TOTAL	2,532,892	253,289,200.00			
4. James Go Filipino [REDACTED]	Common	132,944	13,294,400.00	1.33%	13,294,400.00	[REDACTED]
	TOTAL	132,944	13,294,400.00			
5. David T. Go Filipino [REDACTED]	Common	7,451	745,100.00	0.07%	745,100.00	[REDACTED]
	TOTAL	7,451	745,100.00			
6. Joel Castillo Jr. Filipino [REDACTED]	Common	2,900	290,000.00	0.03%	290,000.00	[REDACTED]
	TOTAL	2,900	290,000.00			
7. Roberto Benedicto Filipino [REDACTED]	Common	237	23,700.00		23,700.00	[REDACTED]
	TOTAL	237	23,700.00			
TOTAL AMOUNT OF SUBSCRIBED CAPITAL						
TOTAL AMOUNT OF PAID-UP CAPITAL						

INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS

Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.

GENERAL INFORMATION SHEET

STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME: PHILIPPINE AXA LIFE INSURANCE CORPORATION

TOTAL NUMBER OF STOCKHOLDERS: **46** **NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH:** **20**

TOTAL ASSETS BASED ON LATEST AUDITED FS: **132,430,298,863.00**

STOCKHOLDER'S INFORMATION

NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED				AMOUNT PAID (PhP)	TAX IDENTIFICATION NUMBER
	TYPE	NUMBER	AMOUNT (PhP)	% OF OWNER-SHIP		
8. Paulyn Castro Filipino [REDACTED]	Common	452	45,200.00		45,200.00	[REDACTED]
	TOTAL	452	45,200.00			
9. Ty Siao Keng Filipino [REDACTED]	Common	193	19,300.00		19,300.00	[REDACTED]
	TOTAL	193	19,300.00			
10. Others Shareholders owning a total of 4928 shares or an average of 100.00 shares each	Common	4,928	492,800.00		492,800.00	
	TOTAL	4,928	492,800.00			
11. Philippine AXA Life Insurance Corporation	Treasury	207	20,700.00		20,700.00	
			-			
	TOTAL		20,700.00			
TOTAL AMOUNT OF SUBSCRIBED CAPITAL			1,000,000,000.00		-	
TOTAL AMOUNT OF PAID-UP CAPITAL					1,000,000,000.00	

INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS

Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.

GENERAL INFORMATION SHEET

STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====			
CORPORATE NAME: PHILIPPINE AXA LIFE INSURANCE CORPORATION			
1. INVESTMENT OF CORPORATE FUNDS IN ANOTHER CORPORATION		AMOUNT (Php)	DATE OF BOARD RESOLUTION
1.1 STOCKS		1,096,777,745.67	
1.2 BONDS/COMMERCIAL PAPER (Issued by Private Corporations)		2,018,811,802.56	
1.3 LOANS/ CREDITS/ ADVANCES		N/A	
1.4 GOVERNMENT TREASURY BILLS		1,214,231,170.67	
1.5 OTHERS		32,200,000.00	
2. INVESTMENT OF CORPORATE FUNDS IN ACTIVITIES UNDER ITS SECONDARY PURPOSES (PLEASE SPECIFY:)		DATE OF BOARD RESOLUTION	DATE OF STOCKHOLDERS RATIFICATION
3. TREASURY SHARES		NO. OF SHARES	% AS TO THE TOTAL NO. OF SHARES ISSUED
		207	
4. UNRESTRICTED/UNAPPROPRIATED RETAINED EARNINGS AS OF END OF LAST FISCAL YEAR			
5. DIVIDENDS DECLARED DURING THE IMMEDIATELY PRECEDING YEAR:			
TYPE OF DIVIDEND		AMOUNT (Php)	DATE DECLARED
5.1 CASH		409,000,000.00	November 6, 2019
5.2 STOCK		0.00	
5.3 PROPERTY		0.00	
TOTAL		409,000,000.00	
6. ADDITIONAL SHARES ISSUED DURING THE PERIOD:			
DATE	NO. OF SHARES	AMOUNT	
N.A.	N.A.	N.A.	
SECONDARY LICENSE/REGISTRATION WITH SEC AND OTHER GOV'T AGENCY:			
NAME OF AGENCY:	SEC	BSP	IC
TYPE OF LICENSE/REGN.			Certificate of Authority No. 2019-37-R
DATE ISSUED:			1-Jan-19
DATE STARTED OPERATIONS:			1963
TOTAL ANNUAL COMPENSATION OF DIRECTORS DURING THE PRECEDING FISCAL YEAR (in Php)	TOTAL NO. OF OFFICERS	TOTAL NO. OF RANK & FILE EMPLOYEES	TOTAL MANPOWER COMPLEMENT
₱8,745,000.00	421	1,572	1,993

NOTE: USE ADDITIONAL SHEET IF NECESSARY

I, **DAN DAVID VINCENT D. ANTONIO**, Assistant Corporate Secretary of **PHILIPPINE AXA LIFE INSURANCE CORPORATION** declare under penalty of perjury that all matters set forth in this GIS have been made in good faith, duly verified by me and to the best of my knowledge and belief are true and correct.

I hereby attest that all the information in this GIS are being submitted in compliance with the rules and regulations of the Securities and Exchange Commission (SEC) the collection, processing, storage and sharing of said information being necessary to carry out the functions of public authority for the performance of the constitutionally and statutorily mandated functions of the SEC as a regulatory agency.

I further attest that I have been authorized by the Board of Directors/Trustees to file this GIS with the SEC.

I understand that the Commission may place the corporation under delinquent status for failure to submit the reportorial requirements three (3) times, consecutively or intermittently, within a period of five (5) years (Section 177, RA No. 11232).

Done this MAY 14 2021 day of MAKATI CITY, 20 in .


DAN DAVID VINCENT D. ANTONIO
(Signature over printed name)

SUBSCRIBED AND SWORN TO before me in MAKATI CITY on MAY 14 2021, by affiant who personally appeared before me and exhibited to me his Unified Multi-Purpose ID. No.

Doc. No.: 340
Page No.: 69
Book No.: 14
Series Of: 2021


FAJICA DANIEL O. LADRADO
Notary Public
Until December 31, 2021
Suite 1212 Herrera Tower
V.A Rufino cor. Valero Sts., Makati City
Commission No. M-75; Roll No. 70985
PTR No. 8533440/ 01.05.21; City of Makati
IBP No. 153024/ 01.15.21; Iloilo
MCLE Compliance No. VI-0023823/04.17.19

BENEFICIAL OWNERSHIP DECLARATION

SEC REGISTRATION
NUMBER:
CORPORATE NAME:

21938
PHILIPPINE AXA LIFE INSURANCE CORPORATION

Instructions:

1. Identify the Beneficial Owner/s of the corporation as described in the Categories of Beneficial Ownership in items A to I below. List down as many as you can identify. You may use an additional sheet if necessary.
2. Fill in the required information on the beneficial owner in the fields provided for.
3. In the "Category of Beneficial Ownership" column, indicate the letter(s) corresponding thereto. In the event that the person identified as beneficial owner falls under several categories, indicate all the letters corresponding to such categories.
4. If the category is under letter "I", indicate the position held (i.e., Director/Trustee, President, Chief Executive Officer, Chief Operating Officer, Chief Financial Officer, etc.).
5. Do not leave any item blank. Write "N/A" if the information required is not applicable or "NONE" if non-existent.

"Beneficial Owner" refers to any natural person(s) who ultimately own(s) or control(s) or exercise(s) ultimate effective control over the corporation. This definition covers the natural person(s) who actually own or control the corporation as distinguished from the legal owners. Such beneficial ownership may be determined on the basis of the following:

Category

Description

- | | |
|---|---|
| A | Natural person(s) owning, directly or indirectly or through a chain of ownership, at least twenty-five percent (25%) of the voting rights, voting shares or capital of the reporting corporation. |
| B | Natural person(s) who exercise control over the reporting corporation, alone or together with others, through any contract, understanding, relationship, intermediary or tiered entity. |
| C | Natural person(s) having the ability to elect a majority of the board of directors/trustees, or any similar body, of the corporation. |
| D | Natural person(s) having the ability to exert a dominant influence over the management or policies of the corporation. |
| E | Natural person(s) whose directions, instructions, or wishes in conducting the affairs of the corporation are carried out by majority of the members of the board of directors of such corporation who are accustomed or under an obligation to act in accordance with such person's directions, instructions or wishes. |
| F | Natural person(s) acting as stewards of the properties of corporations, where such properties are under the care or administration of said natural person(s). |
| G | Natural person(s) who actually own or control the reporting corporation through nominee shareholders or nominee directors acting for or on behalf of such natural persons. |
| H | Natural person(s) ultimately owning or controlling or exercising ultimate effective control over the corporation through other means not falling under any of the foregoing categories. |
| I | Natural person(s) exercising control through positions held within a corporation (i.e., responsible for strategic decisions that fundamentally affect the business practices or general direction of the corporation such as the members of the board of directors or trustees or similar body within the corporation; or exercising executive control over the daily or regular affairs of the corporation through a senior management position). This category is only applicable in exceptional cases where no natural person is identifiable who ultimately owns or exerts control over the corporation, the reporting corporation having exhausted all reasonable means of identification and provided there are no grounds for suspicion. |

COMPLETE NAME (Surname, Given Name, Middle Name, Name Extension (i.e., Jr., Sr., III))	SPECIFIC RESIDENTIAL ADDRESS	NATIONALITY	DATE OF BIRTH	TAX IDENTIFICATION NO.	% OF OWNERSHIP ¹ / % OF VOTING RIGHTS ²	TYPE OF BENEFICIAL OWNER ³ Direct (D) or Indirect (I)	CATEGORY OF BENEFICIAL OWNERSHIP
Solomón S. Cua		Filipino			N/A	I	I- Chairman, Board of Directors
Arthur V. Ty		Filipino			N/A	I	I - Vice Chairman, Board of Directors
Fernand Antonio A. Tansingco		Filipino			N/A	I	I - Vice Chairman, Board of Directors
Rahul Hora		Filipino			N/A	I	I- President and CEO
Carmelo Maria L. Bautista		Filipino			N/A	I	I- Member Board of Directors
Alesandra T. Ty		Filipino			N/A	I	I- Treasurer Board of Directors
Gordon T. Watson		British			N/A	I	I- Member Board of Directors
Francoise Gilles		Belge			N/A	I	I- Member Board of Directors
Dongjun Choi		Korean			N/A	I	I-Member Board of Directors
Jaime C. Laya		Filipino			N/A	I	I- Independent Director Board of Directors
Lope M. Yuvienco		Filipino			N/A	I	I- Independent Director Board of Directors

Note: This page is not for uploading on the SEC iView.

¹ For Stock Corporations.

² For Non-Stock Corporations.

³ For Stock Corporations.