



29 December 2023

THE HONORABLE REYNALDO REGALADO

Commissioner
INSURANCE COMMISSION
1071 United Nations Avenue,
Metro Manila

**Attn: ANTI-MONEY LAUNDERING AND CORPORATE
GOVERNANCE DIVISION**

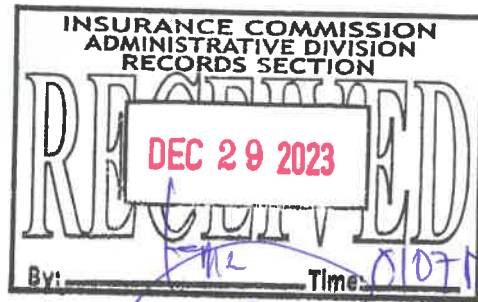
**Re : Fifth Amended General Information Sheet
AXA Philippines**

Dear Commissioner Regalado:

In compliance with IC CL No. 2015-19, we hereby submit the Fifth Amended General Information Sheet (GIS) of AXA Philippines Life and General Insurance Corporation ("AXA Philippines") for the year 2023, duly received and finally accepted by the Securities and Exchange Commission on 28 December 2023 to properly reflect the change in the corporate name of AXA Philippines from Philippine AXA Life Insurance Corporation to AXA Philippines Life and General Insurance Corporation effective 18 December 2023.

We trust that you will find everything in order.

[Signature page follows]



Enrico D. Cleofas
Insurance Commission - Admin.
Records - Receiving



Very truly yours,

AXA PHILIPPINES

By:


PHILIP JASON GAYDA
Senior Legal Manager

FRANDO Marvie

From: noreply-cifssost@sec.gov.ph
Sent: Thursday, December 28, 2023 1:21 PM
Subject: SEC eFast Final Acceptance
Attachments: OST11227202381841408.pdf

Greetings!

SEC Registration No: 0000021938

Company Name: PHILIPPINE AXA LIFE INSURANCE CORPORATION DOING BUSINESS UNDER THE NAME AND STYLE OF AXA PHILIPPINES, PHILIPPINE AXA LIFE, AXA LIFE PHILIPPINES, AXA LIFE INSURANCE PHILIPPINES,

Document Code: GIS

Your submission has been reviewed and accepted. Please see attached file as proof of acceptance. You can also generate your QR Code page in your account.

Thank you.

REMINDER:

TO ALL FILERS OF REPORTS IN THE e-FAST

Please strictly follow the instruction stated in the form.

Filings not in accordance with the prescribed template for the following reports will be automatically reverted by the system to the filer.

1. General Information Sheet (GIS-Stock)
2. General Information Sheet (GIS-Non-stock)
3. General Information Sheet (GIS- Foreign stock & non-stock)
4. Broker Dealer Financial Statements (BDFS)
5. Financing Company Financial Statements (FCFS)
6. Investment Houses Financial Statements (IHFS)
7. Publicly – Held Company Financial Statement
8. General Form for Financial Statements
9. Financing Companies Interim Financial Statements (FCIF)
10. Lending Companies Interim Financial Statements (LCIF)

Per Section 18 of SEC Memorandum Circular No. 3 series of 2021, the reckoning date of receipt of reports is the date the report was initially submitted to the eFast, if the filed report is compliant with the existing requirements.

A report, which was reverted or rejected, is considered not filed or not received. A notification will be sent to the filer, stating the reason for the reports rejection in the remarks box.

SECURITIES AND EXCHANGE COMMISSION

SEC Headquarters, 7907 Makati Avenue,
Salcedo Village, Barangay Bel-Air, Makati City,
1209, Metro Manila, Philippines

THIS IS AN AUTOMATED MESSAGE - PLEASE DO NOT REPLY DIRECTLY TO THIS EMAIL

AMENDED GENERAL INFORMATION SHEET (GIS)

FOR THE YEAR 2023

STOCK CORPORATION**GENERAL INSTRUCTIONS:**

1. FOR USER CORPORATION: THIS GIS SHOULD BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS FROM THE DATE OF THE ANNUAL STOCKHOLDERS' MEETING. **DO NOT LEAVE ANY ITEM BLANK.** WRITE "N.A." IF THE INFORMATION REQUIRED IS NOT APPLICABLE TO THE CORPORATION OR "NONE" IF THE INFORMATION IS NON-EXISTENT. IF THE ANNUAL STOCKHOLDERS' MEETING IS HELD ON A DATE OTHER THAN THAT STATED IN THE BY-LAWS, THE GIS SHALL BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS AFTER THE ELECTION OF THE DIRECTORS, TRUSTEES AND OFFICERS OF THE CORPORATION AT THE ANNUAL MEMBERS' MEETING.
2. IF NO MEETING IS HELD, THE CORPORATION SHALL SUBMIT THE GIS NOT LATER THAN JANUARY 30 OF THE FOLLOWING YEAR. HOWEVER, SHOULD AN ANNUAL STOCKHOLDERS' MEETING BE HELD THEREAFTER, A NEW GIS SHALL BE SUBMITTED/FILED.
3. THIS GIS SHALL BE ACCOMPLISHED IN ENGLISH AND CERTIFIED AND SWORN TO BY THE **CORPORATE SECRETARY** OF THE CORPORATION.
4. THE SEC SHOULD BE TIMELY APPRISED OF RELEVANT CHANGES IN THE SUBMITTED INFORMATION AS THEY ARISE. FOR CHANGES RESULTING FROM ACTIONS THAT AROSE BETWEEN THE ANNUAL MEETINGS, THE CORPORATION SHALL SUBMIT AMENDED GIS CONTAINING THE NEW INFORMATION TOGETHER WITH A COVER LETTER SIGNED THE CORPORATE SECRETARY OF THE CORPORATION. THE AMENDED GIS AND COVER LETTER SHALL BE SUBMITTED WITHIN SEVEN (7) DAYS AFTER SUCH CHANGE OCCURED OR BECAME EFFECTIVE.
5. SUBMIT FOUR (4) COPIES OF THE GIS TO THE RECEIVING SECTION AT THE SEC MAIN OFFICE, OR TO SEC SATELLITE OFFICES OR EXTENSION OFFICES. ALL COPIES SHALL UNIFORMLY BE ON A4 OR LETTER-SIZED PAPER. THE PAGES OF ALL COPIES SHALL USE ONLY ONE SIDE
6. **ONLY THE GIS ACCOMPLISHED IN ACCORDANCE WITH THESE INSTRUCTIONS SHALL BE CONSIDERED AS HAVING BEEN FILED.**
7. THIS GIS MAY BE USED AS EVIDENCE AGAINST THE CORPORATION AND ITS RESPONSIBLE DIRECTORS/OFFICERS FOR ANY VIOLATION OF EXISTING LAWS, RULES AND REGULATIONS

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME: AXA PHILIPPINES LIFE AND GENERAL INSURANCE CORPORATION		DATE REGISTERED: 04/12/62	
BUSINESS/TRADE NAME: "AXA PHILIPPINES", "PHILIPPINE AXA", and "AXA"		FISCAL YEAR END: December 31	
SEC REGISTRATION NUMBER: 21938		CORPORATE TAX IDENTIFICATION NUMBER 000-485-226-000	
DATE OF ANNUAL MEETING PER BY-LAWS: Any day in April		WEBSITE/URL ADDRESS: www.axa.com.ph	
ACTUAL DATE OF ANNUAL MEETING: 25-Apr-2023		E-MAIL ADDRESS: www.axa.com.ph	
COMPLETE PRINCIPAL OFFICE ADDRESS: 34F GT Tower International, 6813 Ayala Ave. corner H.V. Dela Costa Street, Makati City, 1227 Metro Manila, Philippines		FAX NUMBER: +632 403 2536	
OFFICIAL E-MAIL ADDRESS: dandavidvincent.antonio@axa.com.ph	ALTERNATE E-MAIL ADDRESS: legal@axa.com.ph	OFFICIAL MOBILE NUMBER: 0919-066-2016	ALTERNATE MOBILE NUMBER: 0919-0895-098
NAME OF EXTERNAL AUDITOR & ITS SIGNING PARTNER: SYCIP GORRES VELAYO & Co., and Juan Carlo Maminta		SEC ACCREDITATION NUMBER (if applicable): 0012-FR-3	TELEPHONE NUMBER(S): +632 885 0101
PRIMARY PURPOSE/ACTIVITY/INDUSTRY PRESENTLY ENGAGED IN: LIFE AND GENERAL INSURANCE		INDUSTRY CLASSIFICATION: INSURANCE	GEOGRAPHICAL CODE: N.A.
===== INTERCOMPANY AFFILIATIONS =====			
PARENT COMPANY	SEC REGISTRATION NO.	ADDRESS	
	N.A.	N.A.	
SUBSIDIARY/AFFILIATE	SEC REGISTRATION NO.	ADDRESS	
N.A.	N.A.	N.A.	

NOTE: USE ADDITIONAL SHEET IF NECESSARY

GENERAL INFORMATION SHEET STOCK CORPORATION ===== PLEASE PRINT LEGIBLY =====	
Corporate Name: <u>AXA PHILIPPINES LIFE AND GENERAL INSURANCE CORPORATION</u>	
A. Is the Corporation a covered person under the Anti Money Laundering Act (AMLA), as amended? (Rep. Acts. 9160/9164/10167/10365) <input checked="" type="radio"/> Yes <input type="radio"/> No 	
Please check the appropriate box:	
1. <input type="checkbox"/> a. Banks <input type="checkbox"/> b. Offshore Banking Units <input type="checkbox"/> c. Quasi-Banks <input type="checkbox"/> d. Trust Entities <input type="checkbox"/> e. Non-Stock Savings and Loan Associations <input type="checkbox"/> f. Pawnshops <input type="checkbox"/> g. Foreign Exchange Dealers <input type="checkbox"/> h. Money Changers <input type="checkbox"/> i. Remittance Agents <input type="checkbox"/> j. Electronic Money Issuers <input type="checkbox"/> k. Financial Institutions which Under Special Laws are subject to Bangko Sentral ng Pilipinas' (BSP) supervision and/or regulation, including their subsidiaries and affiliates.	4. <input type="checkbox"/> Jewelry dealers in precious metals, who, as a business, trade in precious metals
2. <input checked="" type="checkbox"/> a. Insurance Companies <input type="checkbox"/> b. Insurance Agents <input type="checkbox"/> c. Insurance Brokers <input type="checkbox"/> d. Professional Reinsurers <input type="checkbox"/> e. Reinsurance Brokers <input type="checkbox"/> f. Holding Companies <input type="checkbox"/> g. Holding Company Systems <input type="checkbox"/> h. Pre-need Companies <input type="checkbox"/> i. Mutual Benefit Association <input type="checkbox"/> j. All Other Persons and entities supervised and/or regulated by the Insurance Commission (IC)	5. <input type="checkbox"/> Jewelry dealers in precious stones, who, as a business, trade in precious stone 6. Company service providers which, as a business, provide any of the following services to third parties: <input type="checkbox"/> a. acting as a formation agent of juridical persons <input type="checkbox"/> b. acting as (or arranging for another person to act as) a director or corporate secretary of a company, a partner of a partnership, or a similar position in relation to other juridical persons <input type="checkbox"/> c. providing a registered office, business address or accommodation, correspondence or administrative address for a company, a partnership or any other legal person or arrangement <input type="checkbox"/> d. acting as (or arranging for another person to act as) a nominee shareholder for another person
3. <input type="checkbox"/> a. Securities Dealers <input type="checkbox"/> b. Securities Brokers <input type="checkbox"/> c. Securities Salesman <input type="checkbox"/> d. Investment Houses <input type="checkbox"/> e. Investment Agents and Consultants <input type="checkbox"/> f. Trading Advisors <input type="checkbox"/> g. Other entities managing Securities or rendering similar services <input type="checkbox"/> h. Mutual Funds or Open-end Investment Companies <input type="checkbox"/> i. Close-end Investment Companies <input type="checkbox"/> j. Common Trust Funds or Issuers and other similar entities <input type="checkbox"/> k. Transfer Companies and other similar entities <input type="checkbox"/> l. Other entities administering or otherwise dealing in currency, commodities or financial derivatives based there on <input type="checkbox"/> m. Entities administering or otherwise dealing in valuable objects <input type="checkbox"/> n. Entities administering or otherwise dealing in cash Substitutes and other similar monetary instruments or property supervised and/or regulated by the Securities and Exchange Commission (SEC)	7. Persons who provide any of the following services: <input type="checkbox"/> a. managing of client money, securities or other assets <input type="checkbox"/> b. management of bank, savings or securities accounts <input type="checkbox"/> c. organization of contributions for the creation, operation or management of companies <input type="checkbox"/> d. creation, operation or management of juridical persons or arrangements, and buying and selling business entities 8. <input type="checkbox"/> None of the above <div style="display: flex; border-top: 1px solid black; padding-top: 5px;"> <div style="width: 20%; border-right: 1px solid black; padding-right: 5px;">Describe nature of business:</div> <div style="flex-grow: 1;"></div> </div>
B. Has the Corporation complied with the requirements on Customer Due Diligence (CDD) or Know Your Customer (KYC), record-keeping, and submission of reports under the AMLA, as amended, since the last filing of its GIS? <input checked="" type="radio"/> Yes <input type="radio"/> No 	

STOCK CORPORATION							
===== PLEASE PRINT LEGIBLY =====							
CORPORATE NAME: AXA PHILIPPINES LIFE AND GENERAL INSURANCE CORPORATION							
CAPITAL STRUCTURE							
AUTHORIZED CAPITAL STOCK						2,000,000,000.00	
	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP) (No. of shares X Par/Stated Value)			
	Common	20,000,000	100.00	2,000,000,000.00			
TOTAL		20,000,000	TOTAL P	2,000,000,000.00			
SUBSCRIBED CAPITAL							
FILIPINO	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	NUMBER OF SHARES IN THE HANDS OF THE PUBLIC **	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
	41	Common	5,499,896	149,105	100.00	549,989,600.00	55.00%
		Treasury	10,000,207		100.00	1,000,020,700.00	
TOTAL		15,500,103	TOTAL	TOTAL P	1,550,010,300.00		
FOREIGN (INDICATE BY NATIONALITY)	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	NUMBER OF SHARES IN THE HANDS OF THE PUBLIC **	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
French	1	Common	4,499,847		100.00	449,984,700.00	45.00%
British	2	Common	20		100.00	2,000.00	
Swiss	1	Common	10		100.00	1,000.00	
Spanish	1	Common	10		100.00	1,000.00	
American	1	Common	10		100.00	1,000.00	
Percentage of Foreign Equity :		TOTAL	4,499,897	TOTAL	TOTAL P	449,989,700.00	
					TOTAL SUBSCRIBED P	2,000,000,000.00	
PAID-UP CAPITAL							
FILIPINO	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP	
	41	Common	5,499,896	100.00	549,989,600.00	55.00%	
		Treasury	10,000,207	100.00	1,000,020,700.00		
TOTAL		15,500,103	TOTAL P	1,550,010,300.00			
FOREIGN (INDICATE BY NATIONALITY)	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP	
French	1	Common	4,499,847	100.00	449,984,700.00	45.00%	
British	2	Common	20	100.00	2,000.00		
Swiss	1	Common	10	100.00	1,000.00		
Spanish	1	Common	10	100.00	1,000.00		
American	1	Common	10	100.00	1,000.00		
TOTAL		4,499,897	TOTAL P	449,989,700.00			
				TOTAL PAID-UP P	2,000,000,000.00		
NOTE: USE ADDITIONAL SHEET IF NECESSARY							
* Common, Preferred or other classification							
** Other than Directors, Officers, Shareholders owning 10% of outstanding shares.							

GENERAL INFORMATION SHEET

STOCK CORPORATION

PLEASE PRINT LEGIBLY

CORPORATE NAME: **AXA PHILIPPINES LIFE AND GENERAL INSURANCE CORPORATION**

DIRECTORS / OFFICERS

NAME/CURRENT RESIDENTIAL ADDRESS	NATIONALITY	INC'R	BOARD	GENDER	STOCK HOLDER	OFFICER	EXEC. COMM.	TAX IDENTIFICATION NUMBER
1. Solomon S. Cua [REDACTED]	Filipino	N	C	M	Y	Chairman	C, C/M, A/M, N/C	[REDACTED]
2. Fernand Antonio A. Tansingco [REDACTED]	Filipino	N	M	M	Y	Vice Chairman	M	[REDACTED]
3. Bernardo Rafael Serrano Lopez [REDACTED]	Spanish	N	M	M	Y	President & Chief Executive Officer	M, N/M	[REDACTED]
4. Alesandra T. Ty [REDACTED]	Filipino	N	M	F	Y	Treasurer		[REDACTED]
5. Ramon Jaime L.V. Del Rosario [REDACTED]	Filipino	N	M	M	Y		M	[REDACTED] 2
6. Carmelo Maria L. Bautista [REDACTED]	Filipino	N	M	M	Y		M	[REDACTED] 1
7. Gordon T. Watson [REDACTED]	British	N	M	M	Y			[REDACTED] 5
8. Constance Reschke [REDACTED]	Swiss	N	M	F	Y		M, A/M	[REDACTED]
9. Hassan El Shabrawishi [REDACTED]	Spanish	N	M	M	Y		M C/C	[REDACTED]
10. Peter James Crewe [REDACTED]	British	N	I	M	Y		A/C, N/M	[REDACTED]
11. Rowel D. Vijandre [REDACTED]	Filipino	N	I	M	Y		A/M, C/M	[REDACTED]

INSTRUCTION:

FOR GENDER COLUMN, PUT "F" FOR FEMALE, "M" FOR MALE.

FOR BOARD COLUMN, PUT "C" FOR CHAIRMAN, "M" FOR MEMBER, "I" FOR INDEPENDENT DIRECTOR.

FOR INC'R COLUMN, PUT "Y" IF AN INCORPORATOR, "N" IF NOT.

FOR STOCKHOLDER COLUMN, PUT "Y" IF A STOCKHOLDER, "N" IF NOT.

FOR OFFICER COLUMN, INDICATE PARTICULAR POSITION IF AN OFFICER, FROM VP UP INCLUDING THE POSITION OF THE TREASURER, SECRETARY, COMPLIANCE OFFICER AND/OR ASSOCIATED PERSON.

FOR EXECUTIVE COMMITTEE, INDICATE "C" IF MEMBER OF THE COMPENSATION COMMITTEE; "A" FOR AUDIT COMMITTEE; "N" FOR NOMINATION AND ELECTION COMMITTEE. ADDITIONALLY WRITE "C" AFTER SLASH IF CHAIRMAN AND "M" IF MEMBER.

GENERAL INFORMATION SHEET

STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME:**AXA PHILIPPINES LIFE AND GENERAL INSURANCE CORPORATION****DIRECTORS / OFFICERS**

NAME/CURRENT RESIDENTIAL ADDRESS	NATIONALITY	INC'R	BOARD	GENDER	STOCK HOLDER	OFFICER	EXEC. COMM.	TAX IDENTIFICATION NUMBER
12. Renee Lynn Miciano-Atienza [REDACTED]	Filipino	N		F	N	Corporate Secretary		[REDACTED]
13. Dan David Vincent D. Antonio [REDACTED]	Filipino	N		M	N	Assistant Corporate Secretary		[REDACTED]
14. Gael Georges Ann Lapie [REDACTED]	French	N		M	N	Chief Financial Officer		[REDACTED]
15. Ayman Hamed Abdelghaffar Mohamed Kandil [REDACTED]	Egyptian	N		M	N	Chief Distribution Officer		[REDACTED]
16. Fernando Villar [REDACTED]	Filipino	N		M	N	Chief Marketing Officer		[REDACTED]
17. Sharon C. Hernandez [REDACTED]	Filipino	N		F	N	Chief Human Resources Officer		[REDACTED]
18. Kathleen C. Villegas-Driz [REDACTED]	Filipino	N		F	N	Chief Operations Officer		[REDACTED]
19. Carlos Santos [REDACTED]	Filipino	N		M	N	Chief Transformation & Technology Officer		[REDACTED]

FOR SEX COLUMN, PUT "F" FOR FEMALE, "M" FOR MALE.

FOR BOARD COLUMN, PUT "C" FOR CHAIRMAN, "M" FOR MEMBER, "I" FOR INDEPENDENT DIRECTOR.

FOR INC'R COLUMN, PUT "Y" IF AN INCORPORATOR, "N" IF NOT.

FOR STOCKHOLDER COLUMN, PUT "Y" IF A STOCKHOLDER, "N" IF NOT.

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GENERAL INFORMATION SHEET						
STOCK CORPORATION						
===== PLEASE PRINT LEGIBLY =====						
CORPORATE NAME:		AXA PHILIPPINES LIFE AND GENERAL INSURANCE CORPORATION				
TOTAL NUMBER OF STOCKHOLDERS:		46		NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH:		20
TOTAL ASSETS BASED ON LATEST AUDITED FINANCIAL STATEMENTS:		P157,294,190,897.				
STOCKHOLDER'S INFORMATION						
NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED				AMOUNT PAID (Php)	TAX IDENTIFICATION NUMBER
	TYPE	NUMBER	AMOUNT (Php)	% OF OWNER-SHIP		
1 AXA ASIA French [REDACTED]	Common	4,499,907	449,990,700.00	45.00%	449,990,700.00	[REDACTED]
	TOTAL	4,499,907	449,990,700.00			
2. First Metro Investment Corporation Filipino [REDACTED]	Common	2,817,889	281,788,900.00	28.18%	281,788,900.00	[REDACTED]
	TOTAL	2,817,889	281,788,900.00			
3. GT Capital Holdings, Inc. Filipino [REDACTED]	Common	2,532,892	253,289,200.00	25.33%	253,289,200.00	[REDACTED]
	TOTAL	2,532,892	253,289,200.00			
4. James Go Filipino [REDACTED]	Common	132,944	13,294,400.00	1.33%	13,294,400.00	[REDACTED]
	TOTAL	132,944	13,294,400.00			
5. David T. Go Filipino [REDACTED]	Common	7,451	745,100.00	0.07%	745,100.00	[REDACTED]
	TOTAL	7,451	745,100.00			
6. Joel Castillo Jr. Filipino [REDACTED]	Common	2,900	290,000.00	0.03%	290,000.00	[REDACTED]
	TOTAL	2,900	290,000.00			
7. Roberto Benedicto Filipino [REDACTED]	Common	237	23,700.00		23,700.00	[REDACTED]
	TOTAL	237	23,700.00			
TOTAL AMOUNT OF SUBSCRIBED CAPITAL						
TOTAL AMOUNT OF PAID-UP CAPITAL						
INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS						
<i>Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.</i>						

GENERAL INFORMATION SHEET
STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME: AXA PHILIPPINES LIFE AND GENERAL INSURANCE CORPORATION

TOTAL NUMBER OF STOCKHOLDERS: 46 **NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH:** 20

TOTAL ASSETS BASED ON LATEST AUDITED FS: P157,294,190,897.

STOCKHOLDER'S INFORMATION

NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED				AMOUNT PAID (PhP)	TAX IDENTIFICATION NUMBER
	TYPE	NUMBER	AMOUNT (PhP)	% OF OWNER-SHIP		
8. Paulyn Castro Filipino [REDACTED]	Common	452	45,200.00		45,200.00	[REDACTED]
	TOTAL	452	45,200.00			
9. Ty Siao Keng Filipino [REDACTED]	Common	193	19,300.00		19,300.00	[REDACTED]
	TOTAL	193	19,300.00			
10. Others Shareholders owning a total of 4928 shares or an average of 100.00 shares each	Common	4,928	492,800.00		492,800.00	
	TOTAL	4,928	492,800.00			
11. AXA PHILIPPINES LIFE AND GENERAL INSURANCE CORPORATION	Treasury	10,000,207	1,000,020,700.00		1,000,020,700.00	
	TOTAL		1,000,020,700.00			
TOTAL AMOUNT OF SUBSCRIBED CAPITAL			2,000,000,000.00			
TOTAL AMOUNT OF PAID-UP CAPITAL					2,000,000,000.00	

INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS

Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.

STOCK CORPORATION							
===== PLEASE PRINT LEGIBLY =====							
CORPORATE NAME:		AXA PHILIPPINES LIFE AND GENERAL INSURANCE CORPORATION					
TOTAL NUMBER OF STOCKHOLDERS:				NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH:			
TOTAL ASSETS BASED ON LATEST AUDITED FINANCIAL STATEMENTS:							
STOCKHOLDER'S INFORMATION							
NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS		SHARES SUBSCRIBED				AMOUNT PAID (PhP)	TAX IDENTIFICATION NUMBER
		TYPE	NUMBER	AMOUNT (PhP)	% OF OWNERSHIP		
		TOTAL					
		Common					
		TOTAL					
		Common					
		TOTAL					
		Common					
		TOTAL					
		Common					
		TOTAL					
		Common					
TOTAL AMOUNT OF SUBSCRIBED CAPITAL							
TOTAL AMOUNT OF PAID-UP CAPITAL							
INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS							
Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting							

GENERAL INFORMATION SHEET
STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====			
CORPORATE NAME: <u>AXA PHILIPPINES LIFE AND GENERAL INSURANCE CORPORATION</u>			
1. INVESTMENT OF CORPORATE FUNDS IN ANOTHER CORPORATION	AMOUNT (PhP)	DATE OF BOARD RESOLUTION	
1.1 STOCKS	1,621,658,392.43		
1.2 BONDS/COMMERCIAL PAPER (Issued by Private Corporations)	1,967,931,987.56		
1.3 LOANS/ CREDITS/ ADVANCES	N/A		
1.4 GOVERNMENT TREASURY BILLS	199,538,236.41		
1.5 OTHERS	41, 668, 125. 00		
2. INVESTMENT OF CORPORATE FUNDS IN ACTIVITIES UNDER ITS SECONDARY PURPOSES (PLEASE SPECIFY:)	DATE OF BOARD RESOLUTION	DATE OF STOCKHOLDERS RATIFICATION	
3. TREASURY SHARES	NO. OF SHARES	% AS TO THE TOTAL NO. OF SHARES ISSUED	
	10,000,207.00		
4. UNRESTRICTED/UNAPPROPRIATED RETAINED EARNINGS AS OF END OF LAST FISCAL YEAR			
5. DIVIDENDS DECLARED DURING THE IMMEDIATELY PRECEDING YEAR:			
TYPE OF DIVIDEND	AMOUNT (PhP)	DATE DECLARED	
5.1 CASH	1, 420, 000, 000.00	20-Dec-22	
5.2 STOCK	0.00		
5.3 PROPERTY	0.00		
TOTAL	1, 420, 000, 000.00		
6. ADDITIONAL SHARES ISSUED DURING THE PERIOD:			
DATE	NO. OF SHARES	AMOUNT	
N.A.	N.A.	N.A.	
SECONDARY LICENSE/REGISTRATION WITH SEC AND OTHER GOV'T AGENCY:			
NAME OF AGENCY:	SEC	B S P	I C
TYPE OF LICENSE/REGN.			Certificate of Authority No. 2022-10-R
DATE ISSUED:			1-Jan-22
DATE STARTED OPERATIONS:			1963
TOTAL ANNUAL COMPENSATION OF DIRECTORS DURING THE PRECEDING FISCAL YEAR (in PhP)	TOTAL NO. OF OFFICERS	TOTAL NO. OF RANK & FILE EMPLOYEES	TOTAL MANPOWER COMPLEMENT
P8,745,000.00	9	1,327	2,231


NOTE: USE ADDITIONAL SHEET IF NECESSARY


I, **DAN DAVID VINCENT D. ANTONIO**, Assistant Corporate Secretary of **AXA PHILIPPINES LIFE AND GENERAL INSURANCE CORPORATION** declare under penalty of perjury that all matters set forth in this GIS have been made in good faith, duly verified by me and to the best of my knowledge and belief are true and I hereby attest that all the information in this GIS are being submitted in compliance with the rules and regulations of the Securities and Exchange Commission (SEC) the collection, processing, storage and sharing of said information being necessary to carry out the functions of public authority for the performance of the constitutionally and statutorily mandated functions of the SEC as a regulatory agency.

I further attest that I have been authorized by the Board of Directors/Trustees to file this GIS with the SEC.


I understand that the Commission may place the corporation under delinquent status for failure to submit the reportorial requirements three (3) times, consecutively or intermittently, within a period of five (5) years (*Section 177, RA No. 11232*).

Done this 27th day of December, 20 23 in Makati City.


DAN DAVID VINCENT D. ANTONIO
(Signature over printed name)

SUBSCRIBED AND SWORN TO before me in MAKATI CITY on 27 DEC 2023 by affiant who personally appeared before me and exhibited to me his competent evidence of identity consisting of Unified Multi-Purpose ID. 

Doc. No.: 144
Page No.: 30
Book No.: 27
Series of: 2023


ATTY. JEFFREY A. RAMIENTAS, C.P.A.
Notary Public for Makati City
Roll of Attorneys No. 60711
NOTARY PUBLIC
Commission Expires 04-14-2025
Appointment No. M-34
Unit 103, AZPH Building, Ma. Aurora corner
E. 7th Street, Poblacion, Makati City
PUP No. 1526 / 01-04-2023 / Makati City
Exp. No. 26702 / 01-04-2023 / Makati City
MCIP Cert. No. VII-0015572 valid until 04-14-2025

BENEFICIAL OWNERSHIP DECLARATION

SEC REGISTRATION NUMBER

21938

CORPORATE NAME:

AXA PHILIPPINES LIFE AND GENERAL INSURANCE CORPORATION

Instructions:

1. Identify the Beneficial Owner/s of the corporation as described in the Categories of Beneficial Ownership in items A to I below. List down as many as you can identify. You may use an additional sheet if necessary.
2. Fill in the required information on the beneficial owner in the fields provided for.
3. In the "Category of Beneficial Ownership" column, indicate the letter(s) corresponding thereto. In the event that the person identified as beneficial owner falls under several categories, indicate all the letters corresponding to such categories.
4. If the category is under letter "I", indicate the position held (i.e., Director/Trustee, President, Chief Executive Officer, Chief Operating Officer, Chief Financial Officer, etc.).
5. Do not leave any item blank. Write "N/A" if the information required is not applicable or "NONE" if non-existent.

"Beneficial Owner" refers to any natural person(s) who ultimately own(s) or control(s) or exercise(s) ultimate effective control over the corporation. This definition covers the natural person(s) who actually own or control the corporation as distinguished from the legal owners. Such beneficial ownership may be determined on the basis of the following:

Category

Description

- A Natural person(s) owning, directly or indirectly or through a chain of ownership, at least twenty-five percent (25%) of the voting rights, voting shares or capital of the reporting corporation.
- B Natural person(s) who exercise control over the reporting corporation, alone or together with others, through any contract, understanding, relationship, intermediary or tiered entity.
- C Natural person(s) having the ability to elect a majority of the board of directors/trustees, or any similar body, of the corporation.
- D Natural person(s) having the ability to exert a dominant influence over the management or policies of the corporation.
- E Natural person(s) whose directions, instructions, or wishes in conducting the affairs of the corporation are carried out by majority of the members of the board of directors of such corporation who are accustomed or under an obligation to act in accordance with such person's directions, instructions or wishes.
- F Natural person(s) acting as stewards of the properties of corporations, where such properties are under the care or administration of said natural person(s).
- G Natural person(s) who actually own or control the reporting corporation through nominee shareholders or nominee directors acting for or on behalf of such natural persons.
- H Natural person(s) ultimately owning or controlling or exercising ultimate effective control over the corporation through other means not falling under any of the foregoing categories.
- I Natural person(s) exercising control through positions held within a corporation (i.e., responsible for strategic decisions that fundamentally affect the business practices or general direction of the corporation such as the members of the board of directors or trustees or similar body within the corporation; or exercising executive control over the daily or regular affairs of the corporation through a senior management position). This category is only applicable in exceptional cases where no natural person is identifiable who ultimately owns or exerts control over the corporation, the reporting corporation having exhausted all reasonable means of identification and provided there are no grounds for suspicion.

COMPLETE NAME (Surname, Given Name, Middle Name, Name Extension (I.e., Jr., Sr., III))	SPECIFIC RESIDENTIAL ADDRESS	NATIONALITY	DATE OF BIRTH	TAX IDENTIFICATION NO.	% OF OWNERSHIP ¹ / % OF VOTING RIGHTS ²	TYPE OF BENEFICIAL OWNER ³ Direct (D) or Indirect (I)	CATEGORY OF BENEFICIAL OWNERSHIP
Solomon Sly Cua	[REDACTED]	Filipino	[REDACTED]	[REDACTED]	N/A	I	I- Chairman, Board of Directors
Fernand Antonio Alianza Tanalingco	[REDACTED]	Filipino	[REDACTED]	[REDACTED]	N/A	I	I - Vice Chairman, Board of Directors
Bernardo Rafael Serrano Lopez	[REDACTED]	Spanish	[REDACTED]	[REDACTED]	N/A	I	I- President & Chief Executive Officer
Ramon Jaime Lardizabal Vivencio Del Rosario	[REDACTED]	Filipino	[REDACTED]	[REDACTED]	N/A	I	I- Member Board of Directors
Carmelo Maria Luza Bautista	[REDACTED]	Filipino	[REDACTED]	[REDACTED]	N/A	I	I- Member Board of Directors
Aleandra Ty Ty	[REDACTED]	Filipino	[REDACTED]	[REDACTED]	N/A	I	I- Treasurer Board of Directors
Gordon Timmins Watson	[REDACTED]	British	[REDACTED]	[REDACTED]	N/A	I	I- Member Board of Directors
Constance Reschke	[REDACTED]	Swiss	[REDACTED]	[REDACTED]	N/A	I	I- Member Board of Directors
Hassan El Shabrawishi	[REDACTED]	Spanish	[REDACTED]	[REDACTED]	N/A	I	I-Member Board of Directors
Peter James Crewe	[REDACTED]	British	[REDACTED]	[REDACTED]	N/A	I	I- Independent Director Board of Directors
Rowel Dimaano Vijandre	[REDACTED]	Filipino	[REDACTED]	[REDACTED]	N/A	I	I- Independent Director Board of Directors

Note: This page is not for uploading on the SEC IVIEW.

¹ For Stock Corporations.

² For Non-Stock Corporations.

³ For Stock Corporations.