



RECEIVING COPY

05 December 2022

THE HONORABLE DENNIS FUNA
Commissioner
INSURANCE COMMISSION
1071 United Nations Avenue,
Metro Manila



Attn: ATTY. BRYAN SIBUYAN
Regulation, Enforcement & Prosecution Division

Re : Sixth Amended General Information Sheet (2022)
Charter Ping An Insurance Corporation

Dear Commissioner Funa:

In compliance with IC CL No. 2015-19, we hereby submit the Sixth Amended General Information Sheet (GIS) of Charter Ping An Insurance Corporation ("CPAIC") for the year 2022, duly received and finally accepted by the Securities and Exchange Commission on 01 December 2022, to report the following changes:

1. Page 3:
 - a. Amendment of the nationality pertaining to the five (5) common shares under the items on subscribed capital and paid-up capital following the election of Mr. Bernardo Rafael Serrano Lopez ("Mr. Lopez") to the Board of Directors.
2. Page 4:
 - a. Addition of the personal information of Mr. Lopez as the new President and Chief Executive Officer of CPAIC effective 25 November 2022
 - b. Removal of the designation of Mr. Gael Lapie ("Mr. Lapie") as Officer-in-Charge, nominee stockholder and member of the Board of Directors, Executive Committee and Board Nomination Committee
 - c. Adjustment in the numbering of the list of directors/officers following the above-mentioned amendments – row nos. 1 to 11
3. Page 5:
 - a. Adjustment in the numbering of the list of directors/officers following the above-mentioned amendments – row nos. 12 to 18
4. Page 6:
 - a. Addition of Mr. Lopez in the list of stockholders
 - b. Removal of Mr. Lapie from the list of stockholders



5. Page 11:

- a. Addition of Mr. Lopez in the list of beneficial owners
- b. Removal of Mr. Lapie from the list of beneficial owners

We trust that you will find everything in order.

Very truly yours,

A handwritten signature in blue ink, appearing to read 'Philip Jason Gayda'.

PHILIP JASON GAYDA
Senior Legal Manager
AXA Philippines



The following document has been received:

Receiving: AARON PAGKATIPUNAN

Receipt Date and Time: November 29, 2022 08:35:28 PM

Company Information

SEC Registration No.: 0000017085

Company Name: CHARTER PING AN INSURANCE CORPORATION UNDER THE TRADE NAMES:
OF "AXA PHILIPPINES", "PHILIPPINE AXA", AND "AXA"

Industry Classification: J67030

Company Type: Stock Corporation

Document Information

Document ID: OST1112920228849153

Document Type: General Information Sheet

Document Code: GIS

Period Covered: April 29, 2022

Submission Type: Amendment

Remarks: None

Acceptance of this document is subject to review of forms and contents

AMENDED GENERAL INFORMATION SHEET (GIS)

FOR THE YEAR **2022**
STOCK CORPORATION

GENERAL INSTRUCTIONS:

1. FOR USER CORPORATION: THIS GIS SHOULD BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS FROM THE DATE OF THE ANNUAL STOCKHOLDERS' MEETING. **DO NOT LEAVE ANY ITEM BLANK.** WRITE "N.A." IF THE INFORMATION REQUIRED IS NOT APPLICABLE TO THE CORPORATION OR "NONE" IF THE INFORMATION IS NON-EXISTENT. IF THE ANNUAL STOCKHOLDERS' MEETING IS HELD ON A DATE OTHER THAN THAT STATED IN THE BY-LAWS, THE GIS SHALL BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS AFTER THE ELECTION OF THE DIRECTORS, TRUSTEES AND OFFICERS OF THE CORPORATION AT THE ANNUAL MEMBERS' MEETING.
2. IF NO MEETING IS HELD, THE CORPORATION SHALL SUBMIT THE GIS NOT LATER THAN JANUARY 30 OF THE FOLLOWING YEAR. HOWEVER, SHOULD AN ANNUAL STOCKHOLDERS' MEETING BE HELD THEREAFTER, A NEW GIS SHALL BE SUBMITTED/FILED.
3. THIS GIS SHALL BE ACCOMPLISHED IN ENGLISH AND CERTIFIED AND SWORN TO BY THE **CORPORATE SECRETARY** OF THE CORPORATION.
4. THE SEC SHOULD BE TIMELY APPRISED OF RELEVANT CHANGES IN THE SUBMITTED INFORMATION AS THEY ARISE. FOR CHANGES RESULTING FROM ACTIONS THAT AROSE BETWEEN THE ANNUAL MEETINGS, THE CORPORATION SHALL SUBMIT AMENDED GIS CONTAINING THE NEW INFORMATION TOGETHER WITH A COVER LETTER SIGNED THE CORPORATE SECRETARY OF THE CORPORATION. THE AMENDED GIS AND COVER LETTER SHALL BE SUBMITTED WITHIN SEVEN (7) DAYS AFTER SUCH CHANGE OCCURED OR BECAME EFFECTIVE.
5. SUBMIT FOUR (4) COPIES OF THE GIS TO THE RECEIVING SECTION AT THE SEC MAIN OFFICE, OR TO SEC SATELLITE OFFICES OR EXTENSION OFFICES. ALL COPIES SHALL UNIFORMLY BE ON A4 OR LETTER-SIZED PAPER. THE PAGES OF ALL COPIES SHALL USE ONLY ONE SIDE
6. **ONLY THE GIS ACCOMPLISHED IN ACCORDANCE WITH THESE INSTRUCTIONS SHALL BE CONSIDERED AS HAVING BEEN FILED.**
7. THIS GIS MAY BE USED AS EVIDENCE AGAINST THE CORPORATION AND ITS RESPONSIBLE DIRECTORS/OFFICERS FOR ANY VIOLATION OF EXISTING LAWS, RULES AND REGULATIONS

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME:		DATE REGISTERED:	
CHARTER PING AN INSURANCE CORPORATION		21/06/1960	
BUSINESS/TRADE NAME:		FISCAL YEAR END:	
"AXA PHILIPPINES", "PHILIPPINE AXA", and "AXA		December 31	
SEC REGISTRATION NUMBER:		CORPORATE TAX IDENTIFICATION NUMBER (TIN)	
17085		000-487-306-000	
DATE OF ANNUAL MEETING PER BY-LAWS:		WEBSITE/URL ADDRESS:	
Any day in April		www.axa.com.ph	
ACTUAL DATE OF ANNUAL MEETING:		E-MAIL ADDRESS:	
29-Apr-22		www.axa.com.ph	
COMPLETE PRINCIPAL OFFICE ADDRESS:		FAX NUMBER:	
29F GT Tower International, 6813 Ayala Ave. corner H.V. Dela Costa Street, Makati City, 1227 Metro Manila, Philippines		+632 403 2536	
COMPLETE BUSINESS ADDRESS:		OFFICIAL E-MAIL ADDRESS:	
29F GT Tower International, 6813 Ayala Ave. corner H.V. Dela Costa Street, Makati City, 1227 Metro Manila, Philippines		dandavidvincent.antonio@axa.com.ph	
ALTERNATE E-MAIL ADDRESS:		OFFICIAL MOBILE NUMBER:	
legal@axa.com.ph		0919-066-2016	
NAME OF EXTERNAL AUDITOR & ITS SIGNING PARTNER:		ALTERNATE MOBILE NUMBER:	
SYCIP GORRES VELAYO & Co., and Arnel F. De Jesus		0919-0895-098	
SEC ACCREDITATION NUMBER (if applicable):		TELEPHONE NUMBER(S):	
0926-AR-2		+632 885 0101	
PRIMARY PURPOSE/ACTIVITY/INDUSTRY PRESENTLY ENGAGED IN:		INDUSTRY CLASSIFICATION:	
NON-LIFE INSURANCE		INSURANCE	
GEOGRAPHICAL CODE:			
N.A.			

===== INTERCOMPANY AFFILIATIONS =====

PARENT COMPANY	SEC REGISTRATION NO.	ADDRESS
PHILIPPINE AXA LIFE INSURANCE CORPORATION	0000021938	34F GT Tower International, 6813 Ayala Ave. corner H.V. Dela Costa Street, Makati City, 1227 Metro Manila, Philippines
SUBSIDIARY/AFFILIATE	SEC REGISTRATION NO.	ADDRESS
N/A	N/A	N/A

NOTE: USE ADDITIONAL SHEET IF NECESSARY

STOCK CORPORATION ===== PLEASE PRINT LEGIBLY =====		
Corporate Name: CHARTER PING AN INSURANCE CORPORATION UNDER THE TRADE NAMES: OF "AXA PHILIPPINES", "PHILIPPINE AXA", and "AXA"		
A. Is the Corporation a covered person under the Anti Money Laundering Act (AMLA), as amended? (Rep. Acts. 9160/9164/10167/10365) <input checked="" type="radio"/> Yes <input type="radio"/> No 		
Please check the appropriate box:		
1. <input type="checkbox"/> a. Banks <input type="checkbox"/> b. Offshore Banking Units <input type="checkbox"/> c. Quasi-Banks <input type="checkbox"/> d. Trust Entities <input type="checkbox"/> e. Non-Stock Savings and Loan Associations <input type="checkbox"/> f. Pawnshops <input type="checkbox"/> g. Foreign Exchange Dealers <input type="checkbox"/> h. Money Changers <input type="checkbox"/> i. Remittance Agents <input type="checkbox"/> j. Electronic Money Issuers <input type="checkbox"/> k. Financial Institutions which Under Special Laws are subject to Bangko Sentral ng Pilipinas' (BSP) supervision and/or regulation, including their subsidiaries and affiliates.	4. <input type="checkbox"/> Jewelry dealers in precious metals, who, as a business, trade in precious metals	
2. <input checked="" type="checkbox"/> a. Insurance Companies <input type="checkbox"/> b. Insurance Agents <input type="checkbox"/> c. Insurance Brokers <input type="checkbox"/> d. Professional Reinsurers <input type="checkbox"/> e. Reinsurance Brokers <input type="checkbox"/> f. Holding Companies <input type="checkbox"/> g. Holding Company Systems <input type="checkbox"/> h. Pre-need Companies <input type="checkbox"/> i. Mutual Benefit Association <input type="checkbox"/> j. All Other Persons and entities supervised and/or regulated by the Insurance Commission (IC)	5. <input type="checkbox"/> Jewelry dealers in precious stones, who, as a business, trade in precious stone 6. Company service providers which, as a business, provide any of the following services to third parties: <input type="checkbox"/> a. acting as a formation agent of juridical persons <input type="checkbox"/> b. acting as (or arranging for another person to act as) a director or corporate secretary of a company, a partner of a partnership, or a similar position in relation to other juridical persons <input type="checkbox"/> c. providing a registered office, business address or accommodation, correspondence or administrative address for a company, a partnership or any other legal person or arrangement <input type="checkbox"/> d. acting as (or arranging for another person to act as) a nominee shareholder for another person	
3. <input type="checkbox"/> a. Securities Dealers <input type="checkbox"/> b. Securities Brokers <input type="checkbox"/> c. Securities Salesman <input type="checkbox"/> d. Investment Houses <input type="checkbox"/> e. Investment Agents and Consultants <input type="checkbox"/> f. Trading Advisors <input type="checkbox"/> g. Other entities managing Securities or rendering similar services <input type="checkbox"/> h. Mutual Funds or Open-end Investment Companies <input type="checkbox"/> i. Close-end Investment Companies <input type="checkbox"/> j. Common Trust Funds or Issuers and other similar entities <input type="checkbox"/> k. Transfer Companies and other similar entities <input type="checkbox"/> l. Other entities administering or otherwise dealing in currency, commodities or financial derivatives based there on <input type="checkbox"/> m. Entities administering of otherwise dealing in valuable objects <input type="checkbox"/> n. Entities administering or otherwise dealing in cash Substitutes and other similar monetary instruments or property supervised and/or regulated by the Securities and Exchange Commission (SEC)	7. Persons who provide any of the following services: <input type="checkbox"/> a. managing of client money, securities or other assets <input type="checkbox"/> b. management of bank, savings or securities accounts <input type="checkbox"/> c. organization of contributions for the creation, operation or management of companies <input type="checkbox"/> d. creation, operation or management of juridical persons or arrangements, and buying and selling business entities 8. <input type="checkbox"/> None of the above <div style="display: flex; border: 1px solid black; padding: 2px;"> <div style="width: 100px; font-size: small;">Describe nature of business:</div> <div style="flex-grow: 1;"></div> </div>	
B. Has the Corporation complied with the requirements on Customer Due Diligence (CDD) or Know Your Customer (KYC), record-keeping, and submission of reports under the AMLA, as amended, since the last filing of its GIS?		 <input checked="" type="radio"/> Yes <input type="radio"/> No

STOCK CORPORATION							
===== PLEASE PRINT LEGIBLY =====							
CORPORATE NAME:		CHARTER PING AN INSURANCE CORPORATION UNDER THE TRADE NAMES: OF "AXA PHILIPPINES", "PHILIPPINE AXA", and "AXA"					
CAPITAL STRUCTURE							
AUTHORIZED CAPITAL STOCK		1,000,000,000.00					
	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP) (No. of shares X Par/Stated Value)			
	Common	10,000,000	100.00	1,000,000,000.00			
TOTAL		10,000,000	TOTAL P	1,000,000,000.00			
SUBSCRIBED CAPITAL							
FILIPINO	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	NUMBER OF SHARES IN THE HANDS OF THE PUBLIC **	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
	6	Common	5,124,990		100.00	512,499,000.00	100%
TOTAL		5,124,990	TOTAL	TOTAL P	512,499,000.00		100%
FOREIGN (INDICATE BY NATIONALITY)	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	NUMBER OF SHARES IN THE HANDS OF THE PUBLIC **	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
Spanish	1	Common	5		100.00	500.00	
Swiss	1	Common	5		100.00	500.00	
Percentage of Foreign Equity :		TOTAL	10	TOTAL	TOTAL P	1,000.00	0.00
					TOTAL SUBSCRIBED P	512,500,000.00	100%
PAID-UP CAPITAL							
FILIPINO	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP)		% OF OWNERSHIP
	6	Common	5,124,990	100.00	5,124,990		100.00%
TOTAL		5,124,990	TOTAL	P	512,499,000		100.00
FOREIGN (INDICATE BY NATIONALITY)	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP)		% OF OWNERSHIP
Spanish	1	Common	5	100.00	500.00		
Swiss	1	Common	5	100.00	500.00		
TOTAL		10	TOTAL	P	1,000.00		0.00
					TOTAL PAID-UP P	512,500,000.00	100.00
NOTE: USE ADDITIONAL SHEET IF NECESSARY							
* Common, Preferred or other classification							
** Other than Directors, Officers, Shareholders owning 10% of outstanding shares.							

GENERAL INFORMATION SHEET

STOCK CORPORATION

PLEASE PRINT LEGIBLY

CORPORATE NAME:

CHARTER PING AN INSURANCE CORPORATION UNDER THE TRADE NAMES: OF "AXA PHILIPPINES", "PHILIPPINE AXA", and "AXA"

DIRECTORS / OFFICERS

NAME/CURRENT RESIDENTIAL ADDRESS	NATIONALITY	INC'R	BOARD	GENDER	STOCK HOLDER	OFFICER	EXEC. COMM.	TAX IDENTIFICATION NUMBER
1. Solomon S. Cua	Filipino	N	C	M	Y	Chairman	C, C/M, A/M, N/C	
2. Arthur V. Ty	Filipino	N	M	M	Y	Vice Chairman		
3. Bernardo Rafael Serrano Lopez	Spanish	N	M	M	Y	President & Chief Executive Officer	M, N/M	
4. Gael Georges Ann Lapie	French	N	M	M	N	Chief Financial Officer		
5. Fernand Antonio A. Tansingco	Filipino	N	M	M	Y		M	
6. Constance Reschke	Swiss	N	M	F	Y		M, A/M	
7. Jaime C. Laya	Filipino	N	I	M	Y		A/C, N/M	
8. Lope M. Yuvienco	Filipino	N	I	M	Y		C/M, A/M	
9. Wendy T. Saez Co	Filipino	N		F	N	Treasurer		
10. Renee Lynn Miciano-Atienza	Filipino	N		F	N	Corporate Secretary		
11. Dan David Vincent D. Antonio	Filipino	N		M	N	Assistant Corporate Secretary		

INSTRUCTION:

FOR GENDER COLUMN, PUT "F" FOR FEMALE, "M" FOR MALE.

FOR BOARD COLUMN, PUT "C" FOR CHAIRMAN, "M" FOR MEMBER, "I" FOR INDEPENDENT DIRECTOR.

FOR INC'R COLUMN, PUT "Y" IF AN INCORPORATOR, "N" IF NOT.

FOR STOCKHOLDER COLUMN, PUT "Y" IF A STOCKHOLDER, "N" IF NOT.

FOR OFFICER COLUMN, INDICATE PARTICULAR POSITION IF AN OFFICER, FROM VP UP INCLUDING THE POSITION OF THE TREASURER, SECRETARY, COMPLIANCE OFFICER AND/OR ASSOCIATED PERSON.

FOR EXECUTIVE COMMITTEE, INDICATE "C" IF MEMBER OF THE COMPENSATION COMMITTEE; "A" FOR AUDIT COMMITTEE; "N" FOR NOMINATION AND ELECTION COMMITTEE. ADDITIONALLY WRITE "C" AFTER SLASH IF CHAIRMAN AND "M" IF MEMBER.

GENERAL INFORMATION SHEET

STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

**CORPORATE
NAME:****CHARTER PING AN INSURANCE CORPORATION UNDER THE TRADE NAMES: OF "AXA
PHILIPPINES", "PHILIPPINE AXA", and "AXA"****DIRECTORS / OFFICERS**

NAME/CURRENT RESIDENTIAL ADDRESS	NATIONALITY	INC'R	BOARD	GENDER	STOCK HOLDER	OFFICER	EXEC. COMM.	TAX IDENTIFICATION NUMBER
12. Ronaldo C. San Jose	Filipino	N		M	N	Chief Risk Officer		
13. Maria Faustina B. Raymundo	Filipino	N		F	N	Chief Distribution Officer		
14. Fernando Villar	Filipino	N		M	N	Chief Customer Officer		
15. Rosa Maria Lasa Musico	Filipino	N		F	N	Chief of Commercial Business		
16. Catherine Holley-Ordoña	Filipino	N		F	N	OIC - Human Resources		
17. Kathleen C. Villegas-Driz	Filipino	N		F	N	Chief Operations Officer		
18. Carlos Santos	Filipino	N		M	N	Chief Transformation & Technology Officer		

INSTRUCTION:

FOR SEX COLUMN, PUT "F" FOR FEMALE, "M" FOR MALE.

FOR BOARD COLUMN, PUT "C" FOR CHAIRMAN, "M" FOR MEMBER, "I" FOR INDEPENDENT DIRECTOR.

FOR INC'R COLUMN, PUT "Y" IF AN INCORPORATOR, "N" IF NOT.

FOR STOCKHOLDER COLUMN, PUT "Y" IF A STOCKHOLDER, "N" IF NOT.

FOR OFFICER COLUMN, INDICATE PARTICULAR POSITION IF AN OFFICER, FROM VP UP INCLUDING THE POSITION OF THE TREASURER, SECRETARY, COMPLIANCE OFFICER AND/OR ASSOCIATED PERSON.

FOR EXECUTIVE COMMITTEE, INDICATE "C" IF MEMBER OF THE COMPENSATION COMMITTEE; "A" FOR AUDIT COMMITTEE; "N" FOR NOMINATION AND ELECTION COMMITTEE. ADDITIONALLY WRITE "C" AFTER SLASH IF CHAIRMAN AND "M" IF MEMBER.

GENERAL INFORMATION SHEET						
STOCK CORPORATION						
===== PLEASE PRINT LEGIBLY =====						
CORPORATE NAME:		CHARTER PING AN INSURANCE CORPORATION UNDER THE TRADE NAMES: OF "AXA PHILIPPINES", "PHILIPPINE AXA", and "AXA"				
TOTAL NUMBER OF STOCKHOLDERS:		8		NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH:		1
TOTAL ASSETS BASED ON LATEST AUDITED FINANCIAL STATEMENTS:				13,179,711,407.00		
STOCKHOLDER'S INFORMATION						
NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED				AMOUNT PAID (PhP)	TAX IDENTIFICATION NUMBER
	TYPE	NUMBER	AMOUNT (PhP)	% OF OWNER-SHIP		
1. Philippine AXA Life Insurance Corporation Filipino [REDACTED]	Common	5,124,965	512,496,500.00	100%	512,496,500.00	[REDACTED]
	TOTAL	5,124,965	512,496,500.00			
2. Solomon S. Cua Filipino [REDACTED]	Common	5	500.00		500.00	[REDACTED]
	TOTAL	5	500.00			
3. Arthur V. Ty Filipino [REDACTED]	Common	5	500.00		500.00	[REDACTED]
	TOTAL	5	500.00			
4. Fernand Antonio A. Tansingco Filipino [REDACTED]	Common	5	500.00		500.00	[REDACTED]
	TOTAL	5	500.00			
5. <u>Bernardo Rafael Serrano Lopez</u> Spanish [REDACTED]	Common	5	500.00		500.00	[REDACTED]
	TOTAL	5	500.00			
6. Constance Reschke Swiss [REDACTED]	Common	5	500.00		500.00	[REDACTED]
	TOTAL	5	500.00			
7. Jamie C. Laya Filipino [REDACTED]	Common	5	500.00		500.00	[REDACTED]
	TOTAL	5	500.00			
8. Lope M. Yuvienco Filipino [REDACTED]	Common	5	500.00		500.00	[REDACTED]
	TOTAL	5	500.00			
TOTAL AMOUNT OF SUBSCRIBED CAPITAL				100%	512,500,000.00	
TOTAL AMOUNT OF PAID-UP CAPITAL						
INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS						
<i>Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.</i>						

GENERAL INFORMATION SHEET

STOCK CORPORATION

PLEASE PRINT LEGIBLY

CORPORATE NAME: CHARTER PING AN INSURANCE CORPORATION UNDER THE TRADE NAMES: OF "AXA PHILIPPINES", "PHILIPPINE AXA", and "AXA"			
1. INVESTMENT OF CORPORATE FUNDS IN ANOTHER CORPORATION	AMOUNT (PhP)	DATE OF BOARD RESOLUTION	
1.1 STOCKS	25,254,019.00		
1.2 BONDS/COMMERCIAL PAPER (Issued by Private Corporations)	571,867,430.08		
1.3 LOANS/ CREDITS/ ADVANCES	N/A		
1.4 GOVERNMENT TREASURY BILLS	588,289,475.35		
1.5 OTHERS	1,026,650.00		
2. INVESTMENT OF CORPORATE FUNDS IN ACTIVITIES UNDER ITS SECONDARY PURPOSES (PLEASE SPECIFY:)	DATE OF BOARD RESOLUTION	DATE OF STOCKHOLDERS RATIFICATION	
3. TREASURY SHARES	NO. OF SHARES	% AS TO THE TOTAL NO. OF SHARES ISSUED	
	N/A		
4. UNRESTRICTED/UNAPPROPRIATED RETAINED EARNINGS AS OF END OF LAST FISCAL YEAR			
5. DIVIDENDS DECLARED DURING THE IMMEDIATELY PRECEDING YEAR:			
TYPE OF DIVIDEND	AMOUNT (PhP)	DATE DECLARED	
5.1 CASH	0.00	N/A	
5.2 STOCK	0.00	N/A	
5.3 PROPERTY	0.00	N/A	
TOTAL	₱0.00	N/A	
6. ADDITIONAL SHARES ISSUED DURING THE PERIOD:			
DATE	NO. OF SHARES	AMOUNT	
N.A.	N.A.	N.A.	
SECONDARY LICENSE/REGISTRATION WITH SEC AND OTHER GOV'T AGENCY:			
NAME OF AGENCY:	SEC	B S P	I C
TYPE OF LICENSE/REGN.			Certificate of Authority No. 2019-40-R
DATE ISSUED:			1-Jan-19
DATE STARTED OPERATIONS:			21-Jun-60
TOTAL ANNUAL COMPENSATION OF DIRECTORS DURING THE PRECEDING FISCAL YEAR (in PhP)	TOTAL NO. OF OFFICERS	TOTAL NO. OF RANK & FILE EMPLOYEES	TOTAL MANPOWER COMPLEMENT
₱4,494,000.00	83	509	592
NOTE: USE ADDITIONAL SHEET IF NECESSARY			

I, **DAN DAVID VINCENT D. ANTONIO**, Assistant Corporate Secretary of **CHARTER PING AN INSURANCE CORPORATION** declare under penalty of perjury that all matters set forth in this GIS have been made in good faith, duly verified by me and to the best of my knowledge and belief are true and correct.

I hereby attest that all the information in this GIS are being submitted in compliance with the rules and regulations of the Securities and Exchange Commission (SEC) the collection, processing, storage and sharing of said information being necessary to carry out the functions of public authority for the performance of the constitutionally and statutorily mandated functions of the SEC as a regulatory agency.

I further attest that I have been authorized by the Board of Directors/Trustees to file this GIS with the SEC.

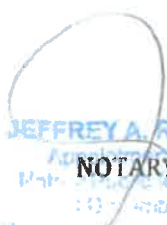
I understand that the Commission may place the corporation under delinquent status for failure to submit the reportorial requirements three (3) times, consecutively or intermittently, within a period of five (5) years (Section 177, RA No. 11232).

Done this ____ day of _____, 20 ____ in _____.


DAN DAVID VINCENT D. ANTONIO
(Signature over printed name)

SUBSCRIBED AND SWORN TO before me in **MAKATI CITY** on **29 NOV 2022** by affiant who personally appeared before me and exhibited to me her competent evidence of identity consisting of Unified Multi-Purpose ID. 

Doc. No.: **437**
Page No.:
Book No.: **89**
Series of: **12**
2022


ATTY. JEFFREY A. RAMIENTAS, C.P.A.
NOTARY PUBLIC
Admission No. 14,34
Phil. Justice Center, Makati City
Expiry Date: 31, 2023
Office: 40FHI Building, 2940
Global Streets, Makati City
Phone No. 50711
PTR No. 1241 January 3, 2022 / Makati City
C.P.A. No. 177, 03 / February 5, 2022
MCLE Compliance No. VI – 0317937 / February 14, 2019

CORPORATE NAME: CHARTER PING AN INSURANCE CORPORATION UNDER THE TRADE NAMES: OF "AXA PHILIPPINES", "PHILIPPINE AXA", and "AXA"

"Beneficial Owner" refers to any natural person(s) who ultimately own(s) or control(s) or exercise(s) ultimate effective control over the corporation. This definition covers the natural person(s) who actually own or control the corporation as distinguished from the legal owners.

Instructions:

1. Identify the Beneficial Owner/s of the corporation as described in the Categories of Beneficial Ownership in Items A to I below. List down as many as you can identify. You may use additional sheet if necessary.
2. Fill in the required information on the beneficial owner in the fields provided for.
3. In the "Category of Beneficial Ownership" column, indicate the letter(s) corresponding thereto. In the event that the person identified as beneficial owner falls under several categories, indicate all the letters corresponding to such categories.
4. If the category is under letter "I", indicate the position held (i.e., Director/Trustee, President, Chief Executive Officer, Chief Operating Officer, Chief Financial Officer, etc.).
5. Do not leave any item blank. Write "N/A" if the information is required is not applicable or "NONE" if non-existent.

Such beneficial ownership may be determined on the basis of the following:

Category

Description

- Natural person(s) owning, directly or indirectly or through a chain of ownership, at least twenty-five percent (25%) of the voting rights, voting shares or capital of the reporting corporation.
- Natural person(s) who exercise control over the reporting corporation, alone or together with others, through any contract, understanding, relationship, intermediary or tiered entity.
- Natural person(s) having the ability to elect a majority of the board of directors/trustees, or any similar body, of the corporation.
- Natural person(s) having the ability to exert a dominant influence over the management or policies of the corporation.
- Natural person(s) whose directions, instructions, or wishes in conducting the affairs of the corporation are carried out by majority of the members of the board of directors of such corporation who are accustomed or under an obligation to act in accordance with such person's directions, instructions or wishes.
- Natural person(s) acting as stewards of the properties of corporations, where such properties are under the care or administration of said natural person(s).
- Natural person(s) who actually own or control the reporting corporation through nominee shareholders or nominee directors acting for or on behalf of such natural persons.
- Natural person(s) ultimately owning or controlling or exercising ultimate effective control over the corporation through other means not falling under any of the foregoing categories.
- Natural person(s) exercising control through positions held within a corporation (i.e., responsible for strategic decisions that fundamentally affect the business practices or general direction of the corporation such as the members of the board of directors or trustees or similar body within the corporation; or exercising executive control over the daily or regular affairs of the corporation through a senior management position). This category is only applicable in exceptional cases where no natural person is identifiable who ultimately owns or exerts control over the corporation, the reporting corporation having exhausted all reasonable means of identification and provided there are no grounds for suspicion.

COMPLETE NAME (Surname, Given Name, Middle Name, Name Extension (i.e., Jr., Sr., III))	SPECIFIC RESIDENTIAL ADDRESS	NATIONALITY	DATE OF BIRTH	TAX IDENTIFICATION NO.	% OF OWNERSHIP ¹ / % OF VOTING RIGHTS ²	TYPE OF BENEFICIAL OWNER ³ Direct (D) or Indirect (I)	CATEGORY OF BENEFICIAL OWNERSHIP
Solomon S. Cua		Filipino			N/A	I	I- Chairman, Board of Directors
Arthur V. Ty		Filipino			N/A	I	I - Vice Chairman, Board of Directors
Fernand Antonio Tansingco		Filipino			N/A	I	I - Vice Chairman, Board of Directors
<u>Bernardo Rafael Serrano Lopez</u>		<u>Spanish</u>			<u>N/A</u>	<u>I</u>	<u>I- President & Chief Executive Officer</u>
Constance Reschke		Swiss			N/A	I	I- Member Board of Directors
Jaime C. Laya		Filipino			N/A	I	I- Independent Director Board of Directors
Lope M. Yuvienco		Filipino			N/A	I	I- Independent Director Board of Directors

Note: This page is not for uploading on the SEC IView.

¹
For Stock Corporations.

²
For Non-Stock Corporations.

³
For Stock Corporations.