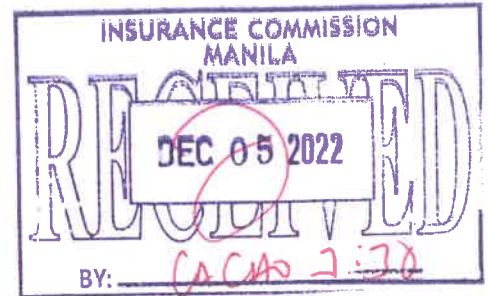




RECEIVING COPY

05 December 2022

THE HONORABLE DENNIS FUNA
Commissioner
INSURANCE COMMISSION
1071 United Nations Avenue,
Metro Manila



Attn: ATTY. BRYAN SIBUYAN
Regulation, Enforcement & Prosecution Division

Re : Sixth Amended General Information Sheet (2022)
Philippine AXA Life Insurance Corporation (AXA
Philippines)

Dear Commissioner Funa:

In compliance with IC CL No. 2015-19, we hereby submit the Sixth Amended General Information Sheet (GIS) of Philippine AXA Life Insurance Corporation (the "Corporation") for the year 2022, duly received and finally accepted by the Securities and Exchange Commission on 01 December 2022, to report the following changes:

1. Page 3:

- a. Amendment of the nationality pertaining to the ten (10) common shares under the items on subscribed capital and paid-up capital following the election of Mr. Bernardo Rafael Serrano Lopez ("Mr. Lopez") to the Board of Directors.

2. Page 4:

- a. Addition of the personal information of Mr. Lopez as the new President and Chief Executive Officer of the Corporation effective 25 November 2022
- b. Removal of the designation of Mr. Gael Lapie ("Mr. Lapie") as Officer-in-Charge, nominee stockholder and member of the Board of Directors, Executive Committee and Board Nomination Committee
- c. Adjustment in the numbering of the list of directors/officers following the above-mentioned amendments – row nos. 1 to 12

3. Page 5:

- a. Adjustment in the numbering of the list of directors/officers following the above-mentioned amendments – row nos. 13 to 21



4. Page 11:

- a. Addition of Mr. Lopez in the list of beneficial owners
- b. Removal of Mr. Lapie from the list of beneficial owners

We trust that you will find everything in order.

Very truly yours,



PHILIP JASON GAYDA
Senior Legal Manager
AXA Philippines



The following document has been received:

Receiving: AARON PAGKATIPUNAN

Receipt Date and Time: November 29, 2022 08:29:40 PM

Company Information

SEC Registration No.: 0000021938

Company Name: PHILIPPINE AXA LIFE INSURANCE CORPORATION DOING BUSINESS UNDER THE NAME AND STYLE OF AXA PHILIPPINES, PHILIPPINE AXA LIFE, AXA LIFE PHILIPPINES, AXA LIFE INSURANCE PHILIPPINES,

Industry Classification: J67010

Company Type: Stock Corporation

Document Information

Document ID: OST1112920228849148

Document Type: General Information Sheet

Document Code: GIS

Period Covered: April 29, 2022

Submission Type: Amendment

Remarks: None

Acceptance of this document is subject to review of forms and contents

AMENDED GENERAL INFORMATION SHEET (GIS)FOR THE YEAR 2022**STOCK CORPORATION****GENERAL INSTRUCTIONS:**

1. FOR USER CORPORATION: THIS GIS SHOULD BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS FROM THE DATE OF THE ANNUAL STOCKHOLDERS' MEETING. **DO NOT LEAVE ANY ITEM BLANK.** WRITE "N.A." IF THE INFORMATION REQUIRED IS NOT APPLICABLE TO THE CORPORATION OR "NONE" IF THE INFORMATION IS NON-EXISTENT. IF THE ANNUAL STOCKHOLDERS' MEETING IS HELD ON A DATE OTHER THAN THAT STATED IN THE BY-LAWS, THE GIS SHALL BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS AFTER THE ELECTION OF THE DIRECTORS, TRUSTEES AND OFFICERS OF THE CORPORATION AT THE ANNUAL MEMBERS' MEETING.
2. IF NO MEETING IS HELD, THE CORPORATION SHALL SUBMIT THE GIS NOT LATER THAN JANUARY 30 OF THE FOLLOWING YEAR. HOWEVER, SHOULD AN ANNUAL STOCKHOLDERS' MEETING BE HELD THEREAFTER, A NEW GIS SHALL BE SUBMITTED/FILED.
3. THIS GIS SHALL BE ACCOMPLISHED IN ENGLISH AND CERTIFIED AND SWORN TO BY THE **CORPORATE SECRETARY** OF THE CORPORATION.
4. THE SEC SHOULD BE TIMELY APPRISED OF RELEVANT CHANGES IN THE SUBMITTED INFORMATION AS THEY ARISE. FOR CHANGES RESULTING FROM ACTIONS THAT AROSE BETWEEN THE ANNUAL MEETINGS, THE CORPORATION SHALL SUBMIT AMENDED GIS CONTAINING THE NEW INFORMATION TOGETHER WITH A COVER LETTER SIGNED THE CORPORATE SECRETARY OF THE CORPORATION. THE AMENDED GIS AND COVER LETTER SHALL BE SUBMITTED WITHIN SEVEN (7) DAYS AFTER SUCH CHANGE OCCURED OR BECAME EFFECTIVE.
5. SUBMIT FOUR (4) COPIES OF THE GIS TO THE RECEIVING SECTION AT THE SEC MAIN OFFICE, OR TO SEC SATELLITE OFFICES OR EXTENSION OFFICES. ALL COPIES SHALL UNIFORMLY BE ON A4 OR LETTER-SIZED PAPER. THE PAGES OF ALL COPIES SHALL USE ONLY ONE SIDE
6. **ONLY THE GIS ACCOMPLISHED IN ACCORDANCE WITH THESE INSTRUCTIONS SHALL BE CONSIDERED AS HAVING BEEN FILED.**
7. THIS GIS MAY BE USED AS EVIDENCE AGAINST THE CORPORATION AND ITS RESPONSIBLE DIRECTORS/OFFICERS FOR ANY VIOLATION OF EXISTING LAWS, RULES AND REGULATIONS

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME: PHILIPPINE AXA LIFE INSURANCE CORPORATION		DATE REGISTERED: 04/12/1962	
BUSINESS/TRADE NAME: "AXA PHILIPPINES", "PHILIPPINE AXA", and "AXA"		FISCAL YEAR END: December 31	
SEC REGISTRATION NUMBER: 21938		CORPORATE TAX IDENTIFICATION NUMBER 000-485-226-000	
DATE OF ANNUAL MEETING PER BY-LAWS: Any day in April		WEBSITE/URL ADDRESS: www.axa.com.ph	
ACTUAL DATE OF ANNUAL MEETING: 29-Apr-2022		E-MAIL ADDRESS: www.axa.com.ph	
COMPLETE PRINCIPAL OFFICE ADDRESS: 34F GT Tower International, 6813 Ayala Ave. corner H.V. Dela Costa Street, Makati City, 1227 Metro Manila, Philippines		FAX NUMBER: +632 403 2536	
OFFICIAL E-MAIL ADDRESS: dandavidvincent.antonio@axa.com.ph	ALTERNATE E-MAIL ADDRESS: legal@axa.com.ph	OFFICIAL MOBILE NUMBER: 0919-066-2016	ALTERNATE MOBILE NUMBER: 0919-0895-098
NAME OF EXTERNAL AUDITOR & ITS SIGNING PARTNER: SYCIP GORRES VELAYO & Co., and Arnel F. De Jesus		SEC ACCREDITATION NUMBER (if applicable): 0012-FR-3	TELEPHONE NUMBER(S): +632 885 0101
PRIMARY PURPOSE /ACTIVITY/INDUSTRY PRESENTLY ENGAGED IN: LIFE INSURANCE		INDUSTRY CLASSIFICATION: INSURANCE	GEOGRAPHICAL CODE: N.A.
===== INTERCOMPANY AFFILIATIONS =====			
PARENT COMPANY	N.A.	ADDRESS N.A.	
SUBSIDIARY/AFFILIATE	SEC REGISTRATION NO. 17085	ADDRESS 29 F GT Tower International, 6813 Ayala Ave. corner H.V. Dela Costa Street, Makati City, 1227 Metro Manila, Philippines	
CHARTER PING AN INSURANCE CORPORATION UNDER THE TRADE NAMES: OF "AXA PHILIPPINES", "PHILIPPINE AXA", and "AXA"			
NOTE: USE ADDITIONAL SHEET IF NECESSARY			

GENERAL INFORMATION SHEET

STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

Corporate Name: PHILIPPINE AXA LIFE INSURANCE CORPORATION

A. Is the Corporation a covered person under the Anti Money Laundering Act (AMLA), as amended? (Rep. Acts. 9160/9164/10167/10365) ☒ Yes ☐ No

Please check the appropriate box:

1.

- ☐ a. Banks
☐ b. Offshore Banking Units
☐ c. Quasi-Banks
☐ d. Trust Entities
☐ e. Non-Stock Savings and Loan Associations
☐ f. Pawnshops
☐ g. Foreign Exchange Dealers
☐ h. Money Changers
☐ i. Remittance Agents
☐ j. Electronic Money Issuers
☐ k. Financial Institutions which Under Special Laws are subject to Bangko Sentral ng Pilipinas' (BSP) supervision and/or regulation, including their subsidiaries and affiliates.

2.

- ☒ a. Insurance Companies
☐ b. Insurance Agents
☐ c. Insurance Brokers
☐ d. Professional Reinsurers
☐ e. Reinsurance Brokers
☐ f. Holding Companies
☐ g. Holding Company Systems
☐ h. Pre-need Companies
☐ i. Mutual Benefit Association
☐ j. All Other Persons and entities supervised and/or regulated by the Insurance Commission (IC)

3.

- ☐ a. Securities Dealers
☐ b. Securities Brokers
☐ c. Securities Salesman
☐ d. Investment Houses
☐ e. Investment Agents and Consultants
☐ f. Trading Advisors
☐ g. Other entities managing Securities or rendering similar services
☐ h. Mutual Funds or Open-end Investment Companies
☐ i. Close-end Investment Companies
☐ j. Common Trust Funds or Issuers and other similar entities
☐ k. Transfer Companies and other similar entities
☐ l. Other entities administering or otherwise dealing in currency, commodities or financial derivatives based there on
☐ m. Entities administering or otherwise dealing in valuable objects
☐ n. Entities administering or otherwise dealing in cash Substitutes and other similar monetary instruments or property supervised and/or regulated by the Securities and Exchange Commission (SEC)

4. ☐ Jewelry dealers in precious metals, who, as a business, trade in precious metals

5. ☐ Jewelry dealers in precious stones, who, as a business, trade in precious stone

6. Company service providers which, as a business, provide any of the following services to third parties:

- ☐ a. acting as a formation agent of juridical persons
☐ b. acting as (or arranging for another person to act as) a director or corporate secretary of a company, a partner of a partnership, or a similar position in relation to other juridical persons
☐ c. providing a registered office, business address or accommodation, correspondence or administrative address for a company, a partnership or any other legal person or arrangement
☐ d. acting as (or arranging for another person to act as) a nominee shareholder for another person

7. Persons who provide any of the following services:

- ☐ a. managing of client money, securities or other assets
☐ b. management of bank, savings or securities accounts
☐ c. organization of contributions for the creation, operation or management of companies
☐ d. creation, operation or management of juridical persons or arrangements, and buying and selling business entities

8. ☐ None of the above

Describe nature of business:

B. Has the Corporation complied with the requirements on Customer Due Diligence (CDD) or Know Your Customer (KYC), record-keeping, and submission of reports under the AMLA, as amended, since the last filing of its GIS? ☒ Yes ☐ No

STOCK CORPORATION

===== **PLEASE PRINT LEGIBLY** =====

CORPORATE NAME: PHILIPPINE AXA LIFE INSURANCE CORPORATION

CAPITAL STRUCTURE

AUTHORIZED CAPITAL STOCK **1,000,000,000.00**

	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP) (No. of shares X Par/Stated Value)
	Common	10,000,000	100.00	1,000,000,000.00
TOTAL		10,000,000	TOTAL P	1,000,000,000.00

SUBSCRIBED CAPITAL

FILIPINO	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	NUMBER OF SHARES IN THE HANDS OF THE PUBLIC **	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
	41	Common	5,499,906	149,105	100.00	549,990,600.00	55.00%
		Treasury	207		100.00	20,700.00	
TOTAL			5,500,113	TOTAL	TOTAL P	550,011,300.00	

FOREIGN (INDICATE BY NATIONALITY)	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	NUMBER OF SHARES IN THE HANDS OF THE PUBLIC **	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
French	1	Common	4,499,847		100.00	449,984,700.00	45.00%
British	1	Common	10		100.00	1,000.00	
Swiss	1	Common	10		100.00	1,000.00	
Spanish	1	Common	10		100.00	1,000.00	
American	1	Common	10		100.00	1,000.00	
Percentage of Foreign Equity :		TOTAL	4,499,887	TOTAL	TOTAL P	449,988,700.00	
TOTAL SUBSCRIBED P						1,000,000,000.00	

PAID-UP CAPITAL

FILIPINO	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
	41	Common	5,499,906	100.00	549,990,600.00	55.00%
		Treasury	207	100.00	20,700.00	
TOTAL			5,500,113	TOTAL P	550,011,300.00	
FOREIGN (INDICATE BY NATIONALITY)	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
French	1	Common	4,499,847	100.00	449,984,700.00	45.00%
British	1	Common	10	100.00	1,000.00	
Swiss	1	Common	10	100.00	1,000.00	
Spanish	1	Common	10	100.00	1,000.00	
American	1	Common	10	100.00	1,000.00	
TOTAL			4,499,887	TOTAL P	449,988,700.00	
TOTAL PAID-UP P					1,000,000,000.00	

NOTE: USE ADDITIONAL SHEET IF NECESSARY

* Common, Preferred or other classification

** Other than Directors, Officers, Shareholders owning 10% of outstanding shares.

GENERAL INFORMATION SHEET

STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME:

PHILIPPINE AXA LIFE INSURANCE CORPORATION

DIRECTORS / OFFICERS

NAME/CURRENT RESIDENTIAL ADDRESS	NATIONALITY	INC'R	BOARD	GENDER	STOCK HOLDER	OFFICER	EXEC. COMM.	TAX IDENTIFICATION NUMBER
1. Solomon S. Cua	Filipino	N	C	M	Y	Chairman	C, C/M, A/M, N/C	
2. Arthur V. Ty	Filipino	N	M	M	Y	Vice Chairman		
3. Fernand Antonio A. Tansingco	Filipino	N	M	M	Y	Vice Chairman	M	
4. <u>Bernardo Rafael Serrano Lopez</u>	Spanish	N	M	M	Y	<u>President & Chief Executive Officer</u>	<u>M, N/M</u>	
5. Gael Georges Ann Lapie	French	N	M	M	N	<u>Chief Financial Officer</u>		
6. Alesandra T. Ty	Filipino	N	M	F	Y	Treasurer	M	
7. Carmelo Maria L. Bautista	Filipino	N	M	M	Y		M	
8. Gordon T. Watson	British	N	M	M	Y		C/C	
9. Constance Reschke	Swiss	N	M	F	Y		M, A/M	
10. Mark Francis Seifried	American	N	M	M	Y		M	
11. Jaime C. Laya	Filipino	N	I	M	Y		A/C, N/M	
12. Lope M. Yuvienco	Filipino	N	I	M	Y		C/M, A/M	

INSTRUCTION:

FOR GENDER COLUMN, PUT "F" FOR FEMALE, "M" FOR MALE.

FOR BOARD COLUMN, PUT "C" FOR CHAIRMAN, "M" FOR MEMBER, "I" FOR INDEPENDENT DIRECTOR.

FOR INC'R COLUMN, PUT "Y" IF AN INCORPORATOR, "N" IF NOT.

FOR STOCKHOLDER COLUMN, PUT "Y" IF A STOCKHOLDER, "N" IF NOT.

FOR OFFICER COLUMN, INDICATE PARTICULAR POSITION IF AN OFFICER, FROM VP UP INCLUDING THE POSITION OF THE TREASURER, SECRETARY, COMPLIANCE OFFICER AND/OR ASSOCIATED PERSON.

FOR EXECUTIVE COMMITTEE, INDICATE "C" IF MEMBER OF THE COMPENSATION COMMITTEE; "A" FOR AUDIT COMMITTEE; "N" FOR NOMINATION AND ELECTION COMMITTEE. ADDITIONALLY WRITE "C" AFTER SLASH IF CHAIRMAN AND "M" IF MEMBER.

GENERAL INFORMATION SHEET

STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME: PHILIPPINE AXA LIFE INSURANCE CORPORATION

DIRECTORS / OFFICERS

	NAME/CURRENT RESIDENTIAL ADDRESS	NATIONALITY	INC'R	BOARD	GENDER	STOCK HOLDER	OFFICER	EXEC. COMM	TAX IDENTIFICATION NUMBER
13.	Renee Lynn Miciano-Atienza	Filipino	N		F	N	Corporate Secretary		
14.	Dan David Vincent D. Antonio	Filipino	N		M	N	Assistant Corporate Secretary		
15.	Ronaldo C. San Jose	Filipino	N		M	N	Chief Risk Officer		
16.	Maria Faustina B. Raymundo	Filipino	N		F	N	Chief Distribution Officer		
17.	Fernando Villar	Filipino	N		M	N	Chief Customer Officer		
18.	Rosa Maria Lasa Musico	Filipino	N		F	N	Chief of Commercial Business		
19.	Catherine Ann R. Holley-Ordoña	Filipino	N		F	N	OIC - Human Resources		
20.	Kathleen C. Villegas-Driz	Filipino	N		F	N	Chief Operations Officer		
21.	Carlos Santos	Filipino	N		M	N	Chief Transformation & Technology Officer		

INSTRUCTION:

FOR SEX COLUMN, PUT "F" FOR FEMALE, "M" FOR MALE.

FOR BOARD COLUMN, PUT "C" FOR CHAIRMAN, "M" FOR MEMBER, "I" FOR INDEPENDENT DIRECTOR.

FOR INC'R COLUMN, PUT "Y" IF AN INCORPORATOR, "N" IF NOT.

FOR STOCKHOLDER COLUMN, PUT "Y" IF A STOCKHOLDER, "N" IF NOT.

FOR OFFICER COLUMN, INDICATE PARTICULAR POSITION IF AN OFFICER, FROM VP UP INCLUDING THE POSITION OF THE TREASURER, SECRETARY, COMPLIANCE OFFICER AND/OR ASSOCIATED PERSON.

FOR EXECUTIVE COMMITTEE, INDICATE "C" IF MEMBER OF THE COMPENSATION COMMITTEE; "A" FOR AUDIT COMMITTEE; "N" FOR NOMINATION

AND ELECTION COMMITTEE. ADDITIONALLY WRITE "C" AFTER SLASH IF CHAIRMAN AND "M" IF MEMBER.

GENERAL INFORMATION SHEET

STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME: **PHILIPPINE AXA LIFE INSURANCE CORPORATION**

TOTAL NUMBER OF STOCKHOLDERS: 46	NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH: 20
--	--

TOTAL ASSETS BASED ON LATEST AUDITED FINANCIAL STATEMENTS: **132,430,298,863.00**

STOCKHOLDER'S INFORMATION

NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED				AMOUNT PAID (PhP)	TAX IDENTIFICATION NUMBER
	TYPE	NUMBER	AMOUNT (PhP)	% OF OWNER-SHIP		
1 AXA ASIA French [REDACTED]	Common	4,499,907	449,990,700.00	45.00%	449,990,700.00	[REDACTED]
	TOTAL	4,499,907	449,990,700.00			
2. First Metro Investment Corporation Filipino [REDACTED]	Common	2,817,889	281,788,900.00	28.18%	281,788,900.00	[REDACTED]
	TOTAL	2,817,889	281,788,900.00			
3. GT Capital Holdings, Inc. Filipino [REDACTED]	Common	2,532,892	253,289,200.00	25.33%	253,289,200.00	[REDACTED]
	TOTAL	2,532,892	253,289,200.00			
4. James Go Filipino [REDACTED]	Common	132,944	13,294,400.00	1.33%	13,294,400.00	[REDACTED]
	TOTAL	132,944	13,294,400.00			
5. David T. Go Filipino [REDACTED]	Common	7,451	745,100.00	0.07%	745,100.00	[REDACTED]
	TOTAL	7,451	745,100.00			
6. Joel Castillo Jr. Filipino [REDACTED]	Common	2,900	290,000.00	0.03%	290,000.00	[REDACTED]
	TOTAL	2,900	290,000.00			
7. Roberto Benedicto Filipino [REDACTED]	Common	237	23,700.00		23,700.00	[REDACTED]
	TOTAL	237	23,700.00			
TOTAL AMOUNT OF SUBSCRIBED CAPITAL						
TOTAL AMOUNT OF PAID-UP CAPITAL						

INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS

Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.

GENERAL INFORMATION SHEET						
STOCK CORPORATION						
===== PLEASE PRINT LEGIBLY =====						
CORPORATE NAME:		PHILIPPINE AXA LIFE INSURANCE CORPORATION				
TOTAL NUMBER OF STOCKHOLDERS:		46	NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH:		20	
TOTAL ASSETS BASED ON LATEST AUDITED FS: 132,430,298,863.00						
STOCKHOLDER'S INFORMATION						
NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED				AMOUNT PAID (PhP)	TAX IDENTIFICATION NUMBER
	TYPE	NUMBER	AMOUNT (PhP)	% OF OWNER-SHIP		
8. Paulyn Castro [REDACTED] [REDACTED]	Common	452	45,200.00		45,200.00	[REDACTED]
	TOTAL	452	45,200.00			
9. Ty Siao Keng [REDACTED] [REDACTED]	Common	193	19,300.00		19,300.00	[REDACTED]
	TOTAL	193	19,300.00			
10. Others Shareholders owning a total of 4928 shares or an average of 100.00 shares each	Common	4,928	492,800.00		492,800.00	
	TOTAL	4,928	492,800.00			
11. Philippine AXA Life Insurance Corporation	Treasury	207	20,700.00		20,700.00	
			-			
	TOTAL		20,700.00			
TOTAL AMOUNT OF SUBSCRIBED CAPITAL			1,000,000,000.00			
TOTAL AMOUNT OF PAID-UP CAPITAL					1,000,000,000.00	
INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS						
Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.						

GENERAL INFORMATION SHEET
STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====			
CORPORATE NAME: PHILIPPINE AXA LIFE INSURANCE CORPORATION			
1. INVESTMENT OF CORPORATE FUNDS IN ANOTHER CORPORATION	AMOUNT (PhP)	DATE OF BOARD RESOLUTION	
1.1 STOCKS	1,096,777,745.67		
1.2 BONDS/COMMERCIAL PAPER (Issued by Private Corporations)	2,018,811,802.56		
1.3 LOANS/ CREDITS/ ADVANCES	N/A		
1.4 GOVERNMENT TREASURY BILLS	1,214,231,170.67		
1.5 OTHERS	32,200,000.00		
2. INVESTMENT OF CORPORATE FUNDS IN ACTIVITIES UNDER ITS SECONDARY PURPOSES (PLEASE SPECIFY:)	DATE OF BOARD RESOLUTION	DATE OF STOCKHOLDERS RATIFICATION	
3. TREASURY SHARES	NO. OF SHARES	% AS TO THE TOTAL NO. OF SHARES ISSUED	
	207		
4. UNRESTRICTED/UNAPPROPRIATED RETAINED EARNINGS AS OF END OF LAST FISCAL YEAR			
5. DIVIDENDS DECLARED DURING THE IMMEDIATELY PRECEDING YEAR:			
TYPE OF DIVIDEND	AMOUNT (PhP)	DATE DECLARED	
5.1 CASH	409,000,000.00	November 6, 2019	
5.2 STOCK	0.00		
5.3 PROPERTY	0.00		
TOTAL	409,000,000.00		
6. ADDITIONAL SHARES ISSUED DURING THE PERIOD:			
DATE	NO. OF SHARES	AMOUNT	
N.A.	N.A.	N.A.	
SECONDARY LICENSE/REGISTRATION WITH SEC AND OTHER GOV'T AGENCY:			
NAME OF AGENCY:	SEC	BSP	IC
TYPE OF LICENSE/REGN.			Certificate of Authority No. 2019-37-R
DATE ISSUED:			1-Jan-19
DATE STARTED OPERATIONS:			1963
TOTAL ANNUAL COMPENSATION OF DIRECTORS DURING THE PRECEDING FISCAL YEAR (in PhP)	TOTAL NO. OF OFFICERS	TOTAL NO. OF RANK & FILE EMPLOYEES	TOTAL MANPOWER COMPLEMENT
₱8,745,000.00	421	1,572	1,993

NOTE: USE ADDITIONAL SHEET IF NECESSARY

I, **DAN DAVID VINCENT D. ANTONIO**, Assistant Corporate Secretary of **PHILIPPINE AXA LIFE INSURANCE CORPORATION** declare under penalty of perjury that all matters set forth in this GIS have been made in good faith, duly verified by me and to the best of my knowledge and belief are true and correct.

I hereby attest that all the information in this GIS are being submitted in compliance with the rules and regulations of the Securities and Exchange Commission (SEC) the collection, processing, storage and sharing of said information being necessary to carry out the functions of public authority for the performance of the constitutionally and statutorily mandated functions of the SEC as a regulatory agency.

I further attest that I have been authorized by the Board of Directors/Trustees to file this GIS with the SEC.

I understand that the Commission may place the corporation under delinquent status for failure to submit the reportorial requirements three (3) times, consecutively or intermittently, within a period of five (5) years (*Section 177, RA No. 11232*).

Done this _____ day of _____, 20____ in _____.


DAN DAVID VINCENT D. ANTONIO
(Signature over printed name)

MAKATI CITY

29 NOV 2022

SUBSCRIBED AND SWORN TO before me in _____ on _____ by affiant who personally appeared before me and exhibited to me her competent evidence of identity consisting of Unified Multi-Purpose ID. 

Doc. No.: 436
Page No.: 89
Book No.: 12
Series of: 70 22

ATTY. JEFFREY A. RAMIENTAS, C.P.A.

Barangay No. 10-34

Makati City

NOTARY PUBLIC

Unit 100 - 2nd Floor, 22nd Building, 2840

Makati Road - Alabang, Makati City

Phone No. 87711

PTR No. 92510-1 / January 3, 2022 / Makati City

IBF No. 177123 / February 5, 2022

MCLE Compliance No. VI - 0017837 / February 14, 2019

BENEFICIAL OWNERSHIP DECLARATION

SEC REGISTRATION NUMBER:

17085

CORPORATE NAME: PHILIPPINE AXA LIFE INSURANCE CORPORATION

Instructions:

1. Identify the Beneficial Owner/s of the corporation as described in the Categories of Beneficial Ownership in items A to I below. List down as many as you can identify. You may use additional sheet if necessary.
2. Fill in the required information on the beneficial owner in the fields provided for.
3. In the "Category of Beneficial Ownership" column, indicate the letter(s) corresponding thereto. In the event that the person identified as beneficial owner falls under several categories, indicate all the letters corresponding to such categories.
4. If the category is under letter "I", indicate the position held (i.e., Director/Trustee, President, Chief Executive Officer, Chief Operating Officer, Chief Financial Officer, etc.).
5. Do not leave any item blank. Write "N/A" if the information is required is not applicable or "NONE" if non-existent.

"Beneficial Owner" refers to any natural person(s) who ultimately own(s) or control(s) or exercise(s) ultimate effective control over the corporation. This definition covers the natural person(s) who actually own or control the corporation as distinguished from the legal owners. Such beneficial ownership may be determined on the basis of the following:

Category

Description

- Natural person(s) owning, directly or indirectly or through a chain of ownership, at least twenty-five percent (25%) of the voting rights, voting shares or capital of the reporting corporation.
- Natural person(s) who exercise control over the reporting corporation, alone or together with others, through any contract, understanding, relationship, intermediary or tiered entity.
- Natural person(s) having the ability to elect a majority of the board of directors/trustees, or any similar body, of the corporation.
- Natural person(s) having the ability to exert a dominant influence over the management or policies of the corporation.
- Natural person(s) whose directions, instructions, or wishes in conducting the affairs of the corporation are carried out by majority of the members of the board of directors of such corporation who are accustomed or under an obligation to act in accordance with such person's directions, instructions or wishes.
- Natural person(s) acting as stewards of the properties of corporations, where such properties are under the care or administration of said natural person(s).
- Natural person(s) who actually own or control the reporting corporation through nominee shareholders or nominee directors acting for or on behalf of such natural persons.
- Natural person(s) ultimately owning or controlling or exercising ultimate effective control over the corporation through other means not falling under any of the foregoing categories.
- Natural person(s) exercising control through positions held within a corporation (i.e., responsible for strategic decisions that fundamentally affect the business practices or general direction of the corporation such as the members of the board of directors or trustees or similar body within the corporation; or exercising executive control over the daily or regular affairs of the corporation through a senior management position). This category is only applicable in exceptional cases where no natural person is identifiable who ultimately owns or exerts control over the corporation, the reporting corporation having exhausted all reasonable means of identification and provided there are no grounds for suspicion.

COMPLETE NAME (Surname, Given Name, Middle Name, Name Extension (i.e., Jr., Sr., III))	SPECIFIC RESIDENTIAL ADDRESS	NATIONALITY	DATE OF BIRTH	TAX IDENTIFICATION NO.	% OF OWNERSHIP ¹ / % OF VOTING RIGHTS ²	TYPE OF BENEFICIAL OWNER ³ Direct (D) or Indirect (I)	CATEGORY OF BENEFICIAL OWNERSHIP
Solomon S. Cua		Filipino			N/A	I	I- Chairman, Board of Directors
Arthur V. Ty		Filipino			N/A	I	I- Vice Chairman, Board of Directors
Fernand Antonio A. Tansingco		Filipino			N/A	I	I- Vice Chairman, Board of Directors
<u>Bernardo Rafael Serrano Lopez</u>		<u>Spanish</u>			N/A	I	I- Vice Chairman, Board of Directors
Carmelo Maria L. Bautista		Filipino			N/A	I	I- Member Board of Directors
Alesandra T. Ty		Filipino			N/A	I	I- Treasurer Board of Directors
Gordon T. Watson		British			N/A	I	I- Member Board of Directors
Constance Reschke		Swiss			N/A	I	I- Member Board of Directors
Mark Seifried		American			N/A	I	I- Member Board of Directors
Jaime C. Laya		Filipino			N/A	I	I- Independent Director Board of Directors
Lope M. Yuvienco		Filipino			N/A	I	I- Independent Director Board of Directors

Note: This page is not for uploading on the SEC iView.

- 1 For Stock Corporations.
- 2 For Non-Stock Corporations.
- 3 For Stock Corporations.