

## GENERAL INFORMATION SHEET (GIS)

FOR THE YEAR 2014

STOCK CORPORATION

I.C.T.D. S

## GENERAL INSTRUCTIONS:

1. FOR USER CORPORATION: THIS GIS SHOULD BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS FROM THE DATE OF THE ANNUAL STOCKHOLDERS' MEETING. DO NOT LEAVE ANY ITEM BLANK. WRITE "N.A." IF THE INFORMATION REQUIRED IS NOT APPLICABLE TO THE CORPORATION OR "NONE" IF THE INFORMATION IS NON-EXISTENT. IF THE ANNUAL STOCKHOLDERS' MEETING IS HELD ON A DATE OTHER THAN THAT STATED IN THE BY-LAWS, THE GIS SHALL BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS FROM THE ACTUAL DATE OF THE ANNUAL STOCKHOLDERS' MEETING.
2. IF NO MEETING IS HELD, THE CORPORATION SHALL SUBMIT THE GIS NOT LATER THAN JANUARY 30 OF THE FOLLOWING YEAR. HOWEVER, SHOULD AN ANNUAL STOCKHOLDERS' MEETING BE HELD THEREAFTER, A NEW GIS SHALL BE SUBMITTED/FILED.
3. THIS GIS SHALL BE ACCOMPLISHED IN ENGLISH AND CERTIFIED AND SWORN TO BY THE CORPORATE SECRETARY OF THE CORPORATION.
4. THE SEC SHOULD BE TIMELY APPRISED OF RELEVANT CHANGES IN THE SUBMITTED INFORMATION AS THEY ARISE. FOR CHANGES RESULTING FROM ACTIONS THAT AROSE BETWEEN THE ANNUAL MEETINGS, THE CORPORATION SHALL SUBMIT ONLY THE AFFECTED PAGE OF THE GIS THAT RELATES TO THE NEW INFORMATION TOGETHER WITH A COVER LETTER SIGNED BY THE CORPORATE SECRETARY OF THE CORPORATION. THE PAGE OF THE GIS AND COVER LETTER SHALL BE SUBMITTED WITHIN SEVEN (7) DAYS AFTER SUCH CHANGE OCCURRED OR BECAME EFFECTIVE.
5. SUBMIT FOUR (4) COPIES OF THE GIS TO THE CENTRAL RECEIVING SECTION, GROUND FLOOR, SEC BLDG., EDSA, MANDALUYONG CITY. ALL COPIES SHALL UNIFORMLY BE ON A4 OR LETTER-SIZED PAPER WITH A STANDARD COVER PAGE. THE PAGES OF ALL COPIES SHALL USE ONLY ONE SIDE. CORPORATIONS SUBMITTING A COPY OF THEIR GIS ONLINE OR VIA INTERNET SHALL SUBMIT ONE (1) HARD COPY OF THE GIS, TOGETHER WITH A CERTIFICATION UNDER OATH BY ITS CORPORATE SECRETARY THAT THE COPY SUBMITTED ONLINE CONTAINS THE EXACT DATA IN THE HARD COPY.
6. ONLY THE GIS ACCOMPLISHED IN ACCORDANCE WITH THESE INSTRUCTIONS SHALL BE CONSIDERED AS HAVING BEEN FILED.
7. THIS GIS MAY BE USED AS EVIDENCE AGAINST THE CORPORATION AND ITS RESPONSIBLE DIRECTORS/OFFICERS FOR ANY VIOLATION OF EXISTING LAWS, RULES AND REGULATIONS

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME: PHILIPPINE AXA LIFE INSURANCE CORPORATION		DATE REGISTERED: Dec. 4, 1962
BUSINESS/TRADE NAME: PHILIPPINE AXA LIFE INSURANCE CORPORATION		FISCAL YEAR END: Dec. 31
SEC REGISTRATION NUMBER: 0000021938		CORPORATE TAX IDENTIFICATION NUMBER (TIN): 000485226000
DATE OF ANNUAL MEETING PER BY-LAWS: ANY DAY IN APRIL		WEBSITE/URL ADDRESS: www.axa.com.ph
ACTUAL DATE OF ANNUAL MEETING: APRIL 30, 2014		E-MAIL ADDRESS:
COMPLETE PRINCIPAL OFFICE ADDRESS: MAKATI CITY, METRO MANILA		FAX NUMBER: 511-8907
COMPLETE BUSINESS ADDRESS: 34/F GT TOWER INTL., 6813 AYALA AVE. COR. H.V. DELA		TELEPHONE NUMBER(S): 885-0101
NAME OF EXTERNAL AUDITOR & ITS SIGNING PARTNER: BERNALETTE L. RAMOS		SEC REGISTRATION NUMBER (if applicable): 0012-FR-1
PRIMARY PURPOSE/ACTIVITY/INDUSTRY PRESENTLY ENGAGED IN: LIFE INSURANCE	INDUSTRY CLASSIFICATION: INSURANCE	GEOGRAPHICAL CODE: N/A

## ===== INTERCOMPANY AFFILIATIONS =====

PARENT COMPANY	SEC REGISTRATION NO.	ADDRESS
	N/A	
SUBSIDIARY/AFFILIATE	SEC REGISTRATION NO.	ADDRESS
	N/A	

NOTE: USE ADDITIONAL SHEET IF NECESSARY



# GENERAL INFORMATION SHEET

STOCK CORPORATION

PLEASE PRINT LEGIBLY

Corporate Name:

PHILIPPINE AXA LIFE INSURANCE CORPORATION

A. Is the Corporation a covered person under the Anti Money Laundering Act (AMLA), as amended? (Rep. Acts. 9160/9164/10167/10365) ☒ Yes ☐ No

Please check the appropriate box:

1.

- ☐ a. Banks
- ☐ b. Offshore Banking Units
- ☐ c. Quasi-Banks
- ☐ d. Trust Entities
- ☐ e. Non-Stock Savings and Loan Associations
- ☐ f. Pawnshops
- ☐ g. Foreign Exchange Dealers
- ☐ h. Money Changers
- ☐ i. Remittance Agents
- ☐ j. Electronic Money Issuers
- ☐ k. Financial Institutions which Under Special Laws are subject to Bangko Sentral ng Pilipinas' (BSP) supervision and/or regulation, including their subsidiaries and affiliates.

2.

- ☒ a. Insurance Companies
- ☐ b. Insurance Agents
- ☐ c. Insurance Brokers
- ☐ d. Professional Reinsurers
- ☐ e. Reinsurance Brokers
- ☐ f. Holding Companies
- ☐ g. Holding Company Systems
- ☐ h. Pre-need Companies
- ☐ i. Mutual Benefit Association
- ☐ j. All Other Persons and entities supervised and/or regulated by the Insurance Commission (IC)

3.

- ☐ a. Securities Dealers
- ☐ b. Securities Brokers
- ☐ c. Securities Salesman
- ☐ d. Investment Houses
- ☐ e. Investment Agents and Consultants
- ☐ f. Trading Advisors
- ☐ g. Other entities managing Securities or rendering similar services
- ☐ h. Mutual Funds or Open-end Investment Companies
- ☐ i. Close-end Investment Companies
- ☐ j. Common Trust Funds or Issuers and other similar entities
- ☐ k. Transfer Companies and other similar entities
- ☐ l. Other entities administering or otherwise dealing in currency, commodities or financial derivatives based there on
- ☐ m. Entities administering of otherwise dealing in valuable objects
- ☐ n. Entities administering or otherwise dealing in cash Substitutes and other similar monetary instruments or property supervised and/or regulated by the Securities and Exchange Commission (SEC)

4. ☐ Jewelry dealers in precious metals, who, as a business, trade in precious metals

5. ☐ Jewelry dealers in precious stones, who, as a business, trade in precious stone

6. Company service providers which, as a business, provide any of the following services to third parties:

- ☐ a. acting as a formation agent of juridical persons
- ☐ b. acting as (or arranging for another person to act as) a director or corporate secretary of a company, a partner of a partnership, or a similar position in relation to other juridical persons
- ☐ c. providing a registered office, business address or accommodation, correspondence or administrative address for a company, a partnership or any other legal person or arrangement
- ☐ d. acting as (or arranging for another person to act as) a nominee shareholder for another person

7. Persons who provide any of the following services:

- ☐ a. managing of client money, securities or other assets
- ☐ b. management of bank, savings or securities accounts
- ☐ c. organization of contributions for the creation, operation or management of companies
- ☐ d. creation, operation or management of juridical persons or arrangements, and buying and selling business entities

8. ☐ None of the above

Describe nature of business:

LIFE INSURANCE

B. Has the Corporation complied with the requirements on Customer Due Diligence (CDD) or Know Your Customer (KYC), record-keeping, and submission of reports under the AMLA, as amended, since the last filing of its GIS?

☒ Yes ☐ No

*[Handwritten signature]*

*[Handwritten signature]*

# **GENERAL INFORMATION SHEET**

STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME: PHILIPPINE AXA LIFE INSURANCE CORPORATION

## **CAPITAL STRUCTURE**

### **AUTHORIZED CAPITAL STOCK**

	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP) (No. of shares X Par/Stated Value)
	Common	10,000,000	100.00	1,000,000,000.00
<b>TOTAL</b>		<b>10,000,000</b>	<b>TOTAL P</b>	<b>1,000,000,000.00</b>

### **SUBSCRIBED CAPITAL**

FILIPINO	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	NUMBER OF SHARES IN THE HANDS OF THE PUBLIC **	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
	34	Common	5,499,886		100.00	549,988,600.00	55.00%
<b>TOTAL</b>			<b>5,499,886</b>	<b>TOTAL</b>	<b>TOTAL P</b>	<b>549,988,600.00</b>	
FOREIGN (INDICATE BY NATIONALITY)	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	NUMBER OF SHARES IN THE HANDS OF THE PUBLIC **	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
French	1	Common	4,499,907		100.00	449,990,700.00	45.00%
<b>Percentage of Foreign Equity :</b>			<b>TOTAL</b>	<b>TOTAL</b>	<b>TOTAL P</b>	<b>449,990,700.00</b>	
			<b>4,499,907</b>	<b>TOTAL SUBSCRIBED P</b>		<b>999,979,300.00</b>	

### **PAID-UP CAPITAL**

FILIPINO	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
	34	Common	5,499,886	100	549,988,600.00	55.00%
<b>TOTAL</b>			<b>5,499,886</b>	<b>TOTAL P</b>	<b>549,988,600.00</b>	
FOREIGN (INDICATE BY NATIONALITY)	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
French	1	Common	4,499,907	100	449,990,700.00	45.00%
<b>TOTAL</b>			<b>4,499,907</b>	<b>TOTAL P</b>	<b>449,990,700.00</b>	
				<b>TOTAL PAID-UP P</b>	<b>999,979,300.00</b>	

NOTE: USE ADDITIONAL SHEET IF NECESSARY

\* Common, Preferred or other classification

\*\* Other than Directors, Officers, Shareholders owning 10% of outstanding shares.

GIS

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*for*





GENERAL INFORMATION SHEET							
STOCK CORPORATION							
===== PLEASE PRINT LEGIBLY =====							
CORPORATE NAME: PHILIPPINE AXA LIFE INSURANCE CORPORATION							
DIRECTORS / OFFICERS							
NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	INC'R	BOARD	SEX	STOCK HOLDER	OFFICER	EXEC. COMM.	TAX IDENTIFICATION NO. (TIN) FOR FILING
1. George S. K. Ty Filipino 3/F Main Bldg., MBTC Plaza, Sen. G. Puyat, Makati City	N		M	N	Senior Adviser	N.A.	121-526-603
2. Solomon S. Cua Filipino 36 Roosevelt St., Greenhills, San Juan, Metro Manila	N	C	M	Y	Chairman	C C/M; A/M; N/C	103-086-416
3. Fernand Antonio A. Tansingco Filipino 534 Batulao St., Ayala Alabang, Muntinlupa City	N	M	M	Y	Vice Chairman	M	135-566-565
4. Bernardito Lapuz Filipino 15/F Main Bldg., MBTC Plaza, Sen. G. Puyat, Makati City	N		M	N	Corporate Secretary	N.A.	106-969-624
5. Severinus Petrus Paulus Hermans Dutch 22 Tangile St. North Forbes Park Makati City	N	M	M	Y	President & CEO	M N/M	202-503-421
6. Liong Tiong "Gerry" Yao Tee Filipino GAF Export and Import Corp., 27-A J. Aguilar Avenue, Pamplona, Las Pinas City	N	M	M	Y	N.A.	M	103-965-012
7. Alesandra T. Ty Filipino 62 Cambridge Circle, North Forbes Park, Makati City	N	M	F	Y	Treasurer	N.A.	219-493-622
8. Lope M. Yuvienco Filipino 29 Domingo Poblete St. BF Executive Village, Las Pinas City	N	I	M	Y	N.A.	M C/M; A/M	106-906-834
9. Jaime C. Laya Filipino 11 Panay Avenue, Quezon City	N	I	M	Y	N.A.	M A/C; N/M	103-175-586
10. Benoit M. Claveranne French 66/F One Islande East, 18 Westland Rd., Quarry Bay, Hong Kong	N	M	M	Y	N.A.	M C/C; A/M	449-871-730
11. Roberto Juanchito T. Dispo Filipino 124 Guava Drive, Ayala Alabang Village, Muntinlupa City	N	M	M	Y	N.A.	N.A.	129-882-308
12. Maria Faustina B. Raymundo Filipino Unit A-2 Ecology Village III, 1 Don Bosco Street, Makati City	N	M	F	Y	Chief BancAssurance Officer	N.A.	101-561-932
13. Ronaldo C. San Jose Filipino Unit 5D The East Tower, One Serendra 11th Ave., BGC, Taguig City	N	M	M	Y	Chief Financial Officer	N.A.	122-340-883
14. Maria Amor T. Balagtas Filipino 19 Mt Blanc St., Fairmount Hills, Subd., Brgy. Dela Paz Antipolo City	N		F	N	Chief Marketing Officer	N.A.	136-711-738

**INSTRUCTIONS:**  
 FOR BOARD COLUMN, PUT "C" FOR CHAIRMAN, "M" FOR MEMBER, "I" FOR INDEPENDENT DIRECTOR.  
 FOR INC'R COLUMN, PUT "Y" IF AN INCORPORATOR, "N" IF NOT.  
 FOR STOCKHOLDER COLUMN, PUT "Y" IF A STOCKHOLDER, "N" IF NOT.  
 FOR OFFICER COLUMN, INDICATE PARTICULAR POSITION IF AN OFFICER, FROM VP UP INCLUDING THE POSITION OF THE TREASURER,  
 SECRETARY, COMPLIANCE OFFICER AND/OR ASSOCIATED PERSON.  
 FOR EXECUTIVE COMMITTEE, INDICATE "C" IF MEMBER OF THE COMPENSATION COMMITTEE; "A" FOR AUDIT COMMITTEE; "N" FOR NOMINAT  
 AND ELECTION COMMITTEE. ADDITIONALLY WRITE "C" AFTER SLASH IF CHAIRMAN AND "M" IF MEMBER.

**GENERAL INFORMATION SHEET**

STOCK CORPORATION

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CORPORATE NAME: PHILIPPINE AXA LIFE INSURANCE CORPORATION

**DIRECTORS / OFFICERS**

NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	INC'R	BOARD	SEX	STOCK HOLDER	OFFICER	EXEC. COMM.	TAX IDENTIFICATION NO. (TIN) FOR FILIPINOS or PASSPORT NO. FOR
15. Rahul Hora Indian 15-A Rizal Tower, 31st Residential Drive, Rockwell Center, Makati City	N		M	N	Chief Agency Officer	N.A	284-115-378
16. Victor R. Tanjuakio Filipino B3 L34 Anahaw corner Dau Sts., Sorrento, Bacoar, Cavite	N		M	N	Corporate Solutions Head	N.A	115-885-486
17. Ariel L. Magtoto Filipino Unit 219, Dona Felisa Condominium, Robinson Circle, Oranbo, Pasig City	N		M	N	Customer Experience Head	N.A	192-695-323
18. Connie A. Roldan Filipino 113 Green Palm Street, Malinao, Pasig City 1600	N		F	N	Human Resources Head	N.A	170-605-139
19. Calvin L. Kohchet-Chua Filipino 30 Florida St. Merville Park, Paranaque City	N		M	N	Head, Legal and Compliance	N.A	189-044-367

FOR BOARD COLUMN, PUT "C" FOR CHAIRMAN, "M" FOR MEMBER, "I" FOR INDEPENDENT DIRECTOR.

FOR INC'R COLUMN, PUT "Y" IF AN INCORPORATOR, "N" IF NOT.

FOR STOCKHOLDER COLUMN, PUT "Y" IF A STOCKHOLDER, "N" IF NOT.

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GENERAL INFORMATION SHEET						
STOCK CORPORATION						
===== PLEASE PRINT LEGIBLY =====						
CORPORATE NAME: PHILIPPINE AXA LIFE INSURANCE CORPORATION						
TOTAL NUMBER OF STOCKHOLDERS:		35	NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH: 18			
TOTAL ASSETS BASED ON LATEST AUDITED FINANCIAL STATEMENTS: Php 54,949,877,684						
STOCKHOLDER'S INFORMATION						
NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED				AMOUNT PAID (Php)	TAX IDENTIFICATION NO. (TIN) FOR FILIPINOS or PASSPORT NO. FOR FOREIGNERS
	TYPE	NUMBER	AMOUNT (Php)	% OF OWNER- SHIP		
AXA ASIA French  21 Avenue Matignon 75008 Paris France	Common	4,499,907	449,990,700.00	45.00%	449,990,700.00	421-160-151
	TOTAL	4,499,907	449,990,700.00			
First Metro Invest. Corp. Filipino  45/F GT Tower Intl., Ayala Ave., Makati City	Common	2,817,889	281,788,900.00	28.18%	281,788,900.00	050-000-500-232
	TOTAL	2,817,889	281,788,900.00			
GT Capital Holdings, Inc. Filipino  GT Tower International, Makati City	Common	2,532,892	253,289,200.00	25.33%	253,289,200.00	000-437-251
	TOTAL	2,532,892	253,289,200.00			
James Go Filipino  MBTC Downtown Center, Binondo, Manila	Common	132,944	13,294,400.00	1.33%	13,294,400.00	121-521-778
	TOTAL	132,944	13,294,400.00			
David T. Go Filipino  MBTC Downtown Center, Binondo, Manila	Common	7,451	745,100.00	0.75%	745,100.00	118-100-523
	TOTAL	7,451	745,100.00			
Joel Castillo Filipino  169 Pajo St., Proj. 2, Quezon City	Common	2,900	290,000.00	0.03%	290,000.00	102-807-294
	TOTAL	2,900	290,000.00			
Roberto Benedicto Filipino  5/F TRB Tower, Roxas Blvd., Pasay City	Common	237	23,700.00	0.00%	23,700.00	107-037-188
	TOTAL	237	23,700.00			
Paulyn Castro Filipino  137 Poblacion St., Kawit, Cavite	Common	452	45,200.00	0.00%	45,200.00	000-913-269
	TOTAL	452	45,200.00			
Ty Siao Keng Filipino  15/F Phil AXA Life Centre, Makati City	Common	193	19,300.00	0.00%	19,300.00	112-183-423
	TOTAL	193	19,300.00			
INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS						
Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.						



## GENERAL INFORMATION SHEET

## STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME: PHILIPPINE AXA LIFE INSURANCE CORPORATION

TOTAL NUMBER OF STOCKHOLDERS: 35

NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH: 18

TOTAL ASSETS BASED ON LATEST AUDITED FINANCIAL STATEMENTS: Php 54,949,877,684

## STOCKHOLDER'S INFORMATION

NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED				AMOUNT PAID (PhP)	TAX IDENTIFICATION NO. (TIN) FOR FILIPINOS or PASSPORT NO. FOR FOREIGNERS
	TYPE	NUMBER	AMOUNT (PhP)	% OF OWNER- SHIP		
Others -26 minor shareholders owning a total of 3250 shares or an ave of 125.00 shares each	Common	4,928	492,800.00	0.05%	492,800.00	
	TOTAL	4,928	492,800.00			
TOTAL AMOUNT OF SUBSCRIBED CAPITAL			999,979,300.00			
TOTAL AMOUNT OF PAID-UP CAPITAL					999,979,300.00	

INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS

Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.

GENERAL INFORMATION SHEET			
STOCK CORPORATION			
===== PLEASE PRINT LEGIBLY =====			
CORPORATE NAME: PHILIPPINE AXA LIFE INSURANCE CORPORATION			
1. INVESTMENT OF CORPORATE FUNDS IN ANOTHER CORPORATION		AMOUNT (PhP)	DATE OF BOARD RESOLUTION
1.1 STOCKS		739,568,620.00	
1.2 BONDS/COMMERCIAL PAPER (Issued by Government)		6,602,954,752.16	
1.3 LOANS/ CREDITS/ ADVANCES			
1.4 GOVERNMENT TREASURY BILLS			
1.5 OTHERS			
2. INVESTMENT OF CORPORATE FUNDS IN ACTIVITIES UNDER ITS SECONDARY PURPOSES (PLEASE SPECIFY:)		DATE OF BOARD RESOLUTION	DATE OF STOCKHOLDERS RATIFICATION
3. TREASURY SHARES: 163,378.00		No. of Shares	% AS TO THE TOTAL NO. OF SHARES ISSUED
		207	0.00
4. UNRESTRICTED/UNAPPROPRIATED RETAINED EARNINGS AS OF END OF LAST FISCAL YEAR			
5. DIVIDENDS DECLARED DURING THE IMMEDIATELY PRECEDING YEAR:			
TYPE OF DIVIDEND		AMOUNT (PhP)	DATE DECLARED
5.1 CASH		891,000,000.00	10/16/2013
5.2 STOCK		340,603,000.00	4/16/2013
5.3 PROPERTY		N.A.	N.A.
TOTAL		P 0.00	
6. ADDITIONAL SHARES ISSUED DURING THE PERIOD:			
DATE	NO. OF SHARES	AMOUNT	
N.A.	N.A.	N.A.	
SECONDARY LICENSE/REGISTRATION WITH SEC AND OTHER GOV'T AGENCY:			
NAME OF AGENCY:	SEC	B S P	I C
TYPE OF LICENSE/REGN.			Certificate of Authority No. 2013/68-R
DATE ISSUED:			July 01, 2013
DATE STARTED OPERATIONS:			1963
TOTAL ANNUAL COMPENSATION OF DIRECTORS DURING THE PRECEDING FISCAL YEAR (in PhP)	TOTAL NO. OF OFFICERS	TOTAL NO. OF RANK & FILE EMPLOYEES	TOTAL MANPOWER COMPLEMENT
4,658,523.11	85	845	930
NOTE: USE ADDITIONAL SHEET IF NECESSARY			



I, BERNARDITO M. LAPUZ, Corporate Secretary OF THE ABOVE-MENTIONED  
(NAME) (POSITION)

CORPORATION DECLARE UNDER THE PENALTY OF PERJURY, THAT ALL MATTERS SET FORTH IN THIS GENERAL INFORMATION SHEET WHICH CONSISTS OF ( 8 ) PAGES HAVE BEEN MADE IN GOOD FAITH, DULY VERIFIED BY ME AND TO THE BEST OF MY KNOWLEDGE AND BELIEF, ARE TRUE AND CORRECT.

I UNDERSTAND THAT THE FAILURE OF THE CORPORATION TO FILE THIS GIS FOR FIVE (5) CONSECUTIVE YEARS SHALL BE CONSTRUED AS NON-OPERATION OF THE CORPORATION AND A GROUND FOR THE REVOCATION OF THE CORPORATIONS CERTIFICATE OF INCORPORATION. IN THIS EVENTUALITY, THE CORPORATION HEREBY WAIVES ITS RIGHT TO A HEARING FOR THE SAID REVOCATION.

DONE THIS 02 TH DAY OF MAY 2014 IN MAKATI CITY.

  
**BERNARDITO M. LAPUZ**  
(SIGNATURE)

**SUBSCRIBED AND SWORN TO** BEFORE ME IN MANILA CITY/PROVINCE, PHILIPPINES, JUN 02 2014 OF MAY 2014, AFFIANT PERSONALLY APPEARED BEFORE ME AND EXHIBITED TO ME HIS PHILIPPINE PASSPORT NO. EB7556388, ISSUED AT THE DFA NCR East, VALID UP TO March 05, 2018 AND OTHER SATISFACTORY PROOFS OF IDENTITY.

DOC. NO: 53 :  
PAGE NO. 6 :  
BOOK NO. 60 :  
SERIES OF 219 :

**NOTARY PUBLIC FOR** MANILA CITY/PROVINCE  
Notarial Commission No. NOTARY PUBLIC  
Commission expires on DECEMBER 31, 2014  
Roll of Attorney Number NO. 2413125 / 2014 MLA  
PTR No. IRP NO. 943985 / 2014 MLA  
IBP No. ROLL NO. 21636 / TIN NO. 144-519-0\*6  
Office Address: MCLE III - 0013621  
COMMISSION NO. 2013-023



**redefining / standards**

REPUBLIC OF THE PHILIPPINES)

**MANILA**

: S.S.

Makati City, Metro Manila )

**SECRETARY'S CERTIFICATE**

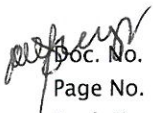
I, **BERNARDITO M. LAPUZ**, of legal age, Filipino, married and with office address at 15<sup>th</sup> Floor Main Building, MBTC Plaza, Senator Gil Puyat Avenue, Makati City, Metro Manila, after having been sworn to in accordance with law, hereby depose and state: That -

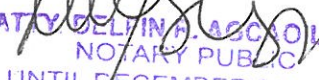
1. I am the elected and incumbent Corporate Secretary of the Board of Directors of PHILIPPINE AXA LIFE INSURANCE CORPORATION, a Philippine corporation with principal office at 34<sup>th</sup> Floor GT Tower International Bldg., 6813 Ayala Avenue, corner H.V. Dela Costa Street, Makati City;
2. The General Information Sheet contained in the attached CD-R is exactly similar with the hard copies submitted in connection with the SEC Reportorial Requirements.

IN WITNESS WHEREOF, I have hereunto signed this certificate, this 02 day of May 2014, at Makati City, Philippines.

  
**BERNARDITO M. LAPUZ**  
Corporate Secretary

**SUBSCRIBED AND SWORN** to before me on this 02 day of May 2014, at Makati City, Metro Manila; the affiant, Bernardito M. Lapuz, exhibited to me his Philippine Passport No. EB7556388, issued at the DFA NCR East, valid up to March 05, 2018 and other satisfactory proofs of identity.

  
Doc. No. 152 :  
Page No. 6 :  
Book No. 60 :  
Series of 2014.

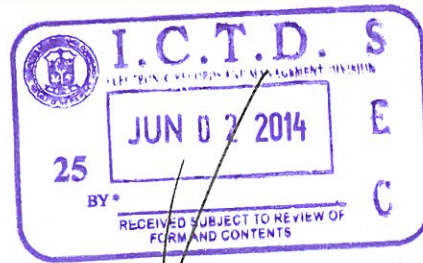
  
**ATY DELFIN A. ASCOLLI JR.**  
NOTARY PUBLIC  
UNTIL DECEMBER 31, 2014  
PTR NO. 2413105 / 2014 MLA  
IBP NO. 943989 / 2014 MLA  
ROLL NO. 24655 / TIN NO. 144-519-053  
MCLE III - 0013521  
COMMISSION NO. 2013-023



**AXA Philippines**

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## CERTIFICATION

In compliance with Memorandum Circular No. 6 dated April 7, 2006 issued by the SECURITIES and EXCHANGE COMMISSION (SEC), requiring the submission by registered corporations of SEC reportorial requirements, we submit herewith the CD-R containing the GENERAL INFORMATION SHEET of PHILIPPINE AXA LIFE INSURANCE CORPORATION for the year 2014.

I certify that the CD-R contains the SAME data in the five (5) sets of hard copies submitted to the SEC.

**BERNARDITO M. LAPUZ**  
CORPORATE SECRETARY

**SUBSCRIBED AND SWORN** to before me this JUN 02 2014 day of May 2014 at Makati City, Metro Manila; the affiant, Bernardito M. Lapuz, exhibited to me his Philippine Passport No. EB7556388, issued at the DFA NCR East, valid up to March 05, 2018 and other satisfactory proofs of identity.

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Page No. 6  
Book No. 80  
Series of 2014

**ATTY. DESHA R. AGCAOILI JR.**  
NOTARY PUBLIC  
UNTIL DECEMBER 31, 2014  
P.T. NO. 2413105 / 2014 M.A.  
ID. NO. 943989 / 2014 M.L.A.  
ROLL NO. 24655 / TIN NO. 144-519406  
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