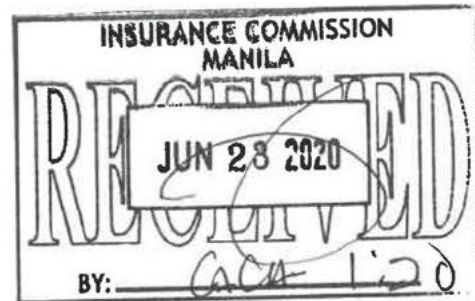




RECEIVING COPY



May 20, 2020

THE HONORABLE DENNIS FUNA
Commissioner
INSURANCE COMMISSION
1071 United Nations Avenue,
Makati City

Attn : ATTY. BRYAN SIBUYAN
Regulation, Enforcement & Prosecution Division

Re : 2020 General Information Sheet
Charter Ping An Insurance Corporation

Dear Commissioner Funa:

In compliance with IC CL No. 2015-19, we submit the attached General Information Sheet for the year 2020 of Charter Ping An Insurance Corporation duly received by the Securities and Exchange Commission on May 20, 2020.

We trust that you will find everything in order.

Very truly yours,


AGATHA KRISTIANNE BERMEJO
Legal Officer

Charter Ping An Insurance Corporation

Registry Receipt for SEC Proof of Filing

RE 247 389 734 ZZ

Post Office _____

Letter/Package No. _____

Posted on _____ 20 _____

Preserve this receipt for reference in case of inquiry

23 JAN 2011

REGISTERED MAIL ACCEPTANCE
COUNTER

MAKATI CENTRAL OFFICE,
1000 MAKATI CITY, PHILIPPINES

Postmaster/Teller _____

BERMEJO Aggie

From: Ma. Lorelei M. Liwanag <[REDACTED]>
Sent: Wednesday, 20 May 2020 6:27 PM
To: BERMEJO Aggie
Subject: Re: General Information Sheet - Charter Ping An Insurance Corporation



INFORMATION COMMUNICATIONS AND TECHNOLOGY DEPARTMENT

Name of Corporation : CHARTER PING AN INSURANCE CORPORATION

Name of Sender : Agatha Kristianne C. Bermejo

SEC Registration No. : 0000017085

This is to acknowledge the

(/) GENERAL INFORMATION SHEET for the annual meeting dated April 20, 2020

(/) OTHERS (Pls. specify: *Letter*

sent through email and received on May 20, 2020

Note:

Receipt of the above-described document/s is subject to the provisions of MC No. 9 s. of 2020, MC No. 10 s. of 2020, The Revised Corporation Code and existing laws, rules and regulations implemented by concerned SEC departments and offices

On Wed, May 20, 2020 at 11:55 AM BERMEJO Aggie <[REDACTED]> wrote:

Dear Sir or Madam,

I am attaching the Charter Ping An Insurance Corporation General Information Sheet (GIS), Charter Ping An Insurance Corporation Beneficial Ownership Declaration and other supporting documents.

These documents are being submitted via email in compliance with SEC Memorandum Circular No 9, s. 2020 and SEC Memorandum Circular No 10, s. 2020.

I declare that these documents are authentic, and that we will submit the physical versions of these exact same documents once the COVID 19 public emergency has been lifted.

BERMEJO Aggie

From: Brian Gale T. Sibuyan <[REDACTED]>
To: BERMEJO Aggie
Sent: Wednesday, 20 May 2020 3:46 PM
Subject: Read: 2020 General Information Sheet - Charter Ping An Insurance Corporation

Your message

To:
Subject: 2020 General Information Sheet - Charter Ping An Insurance Corporation
Sent: Wednesday, May 20, 2020 7:47:51 AM (UTC+00:00) Monrovia, Reykjavik

was read on Wednesday, May 20, 2020 7:46:24 AM (UTC+00:00) Monrovia, Reykjavik.

GENERAL INFORMATION SHEET (GIS)

FOR THE YEAR 2020

STOCK CORPORATION

GENERAL INSTRUCTIONS:

1. FOR USER CORPORATION: THIS GIS SHOULD BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS FROM THE DATE OF THE ANNUAL STOCKHOLDERS' MEETING. DO NOT LEAVE ANY ITEM BLANK. WRITE "N.A." IF THE INFORMATION REQUIRED IS NOT APPLICABLE TO THE CORPORATION OR "NONE" IF THE INFORMATION IS NON-EXISTENT. IF THE ANNUAL STOCKHOLDERS' MEETING IS HELD ON A DATE OTHER THAN THAT STATED IN THE BY-LAWS, THE GIS SHALL BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS AFTER THE ELECTION OF THE DIRECTORS, TRUSTEES AND OFFICERS OF THE CORPORATION AT THE ANNUAL MEMBERS' MEETING.
2. IF NO MEETING IS HELD, THE CORPORATION SHALL SUBMIT THE GIS NOT LATER THAN JANUARY 30 OF THE FOLLOWING YEAR. HOWEVER, SHOULD AN ANNUAL STOCKHOLDERS' MEETING BE HELD THEREAFTER, A NEW GIS SHALL BE SUBMITTED/FILED.
3. THIS GIS SHALL BE ACCOMPLISHED IN ENGLISH AND CERTIFIED AND SWORN TO BY THE CORPORATE SECRETARY OF THE CORPORATION.
4. THE SEC SHOULD BE TIMELY APPRISED OF RELEVANT CHANGES IN THE SUBMITTED INFORMATION AS THEY ARISE. FOR CHANGES RESULTING FROM ACTIONS THAT AROSE BETWEEN THE ANNUAL MEETINGS, THE CORPORATION SHALL SUBMIT AMENDED GIS CONTAINING THE NEW INFORMATION TOGETHER WITH A COVER LETTER SIGNED THE CORPORATE SECRETARY OF THE CORPORATION. THE AMENDED GIS AND COVER LETTER SHALL BE SUBMITTED WITHIN SEVEN (7) DAYS AFTER SUCH CHANGE OCCURED OR BECAME EFFECTIVE.
5. SUBMIT FOUR (4) COPIES OF THE GIS TO THE RECEIVING SECTION AT THE SEC MAIN OFFICE, OR TO SEC SATELLITE OFFICES OR EXTENSION OFFICES. ALL COPIES SHALL UNIFORMLY BE ON A4 OR LETTER-SIZED PAPER. THE PAGES OF ALL COPIES SHALL USE ONLY ONE SIDE
6. ONLY THE GIS ACCOMPLISHED IN ACCORDANCE WITH THESE INSTRUCTIONS SHALL BE CONSIDERED AS HAVING BEEN FILED.
7. THIS GIS MAY BE USED AS EVIDENCE AGAINST THE CORPORATION AND ITS RESPONSIBLE DIRECTORS/OFFICERS FOR ANY VIOLATION OF EXISTING LAWS, RULES AND REGULATIONS

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME: CHARTER PING AN INSURANCE CORPORATION		DATE REGISTERED: 21/06/1960
BUSINESS/TRADE NAME: "AXA PHILIPPINES", "PHILIPPINE AXA", and "AXA"		FISCAL YEAR END: December 31
SEC REGISTRATION NUMBER: 17085		
DATE OF ANNUAL MEETING PER BY-LAWS: Any day in April		CORPORATE TAX IDENTIFICATION NUMBER (TIN) 000-487-306-000
ACTUAL DATE OF ANNUAL MEETING: 20-Apr-20		WEBSITE/URL ADDRESS: www.axa.com.ph
COMPLETE PRINCIPAL OFFICE ADDRESS: 29F GT Tower International, 6813 Ayala Ave. corner H.V. Dela Costa Street, Makati City, 1227 Metro Manila, Philippines		E-MAIL ADDRESS: www.axa.com.ph
COMPLETE BUSINESS ADDRESS: 29F GT Tower International, 6813 Ayala Ave. corner H.V. Dela Costa Street, Makati City, 1227 Metro Manila, Philippines		FAX NUMBER: +632 403 2536
NAME OF EXTERNAL AUDITOR & ITS SIGNING PARTNER: SYCIP GORRES VELAYO & Co., and Arnel F. De Jesus		SEC ACCREDITATION NUMBER (If applicable): 0926-AR-2
		TELEPHONE NUMBER(S): +632 885 0101
PRIMARY PURPOSE/ACTIVITY/INDUSTRY PRESENTLY ENGAGED IN: NON-LIFE INSURANCE	INDUSTRY CLASSIFICATION: INSURANCE	GEOGRAPHICAL CODE: N.A.

===== INTERCOMPANY AFFILIATIONS =====

PARENT COMPANY	SEC REGISTRATION NO.	ADDRESS
PHILIPPINE AXA LIFE INSURANCE CORPORATION	0000021938	6813 Ayala Ave. corner H.V. Dela Costa Street, Makati City, 12
SUBSIDIARY/AFFILIATE	SEC REGISTRATION NO.	ADDRESS
N/A	N/A	N/A

NOTE: USE ADDITIONAL SHEET IF NECESSARY

GENERAL INFORMATION SHEET

STOCK CORPORATION

PLEASE PRINT LEGIBLY

Corporate Name: CHARTER PING AN INSURANCE CORPORATION UNDER THE TRADE NAMES: OF "AXA PHILIPPINES", "PHILIPPINE AXA", and "AXA"

A. Is the Corporation a covered person under the Anti Money Laundering Act (AMLA), as amended? (Rep. Acts. 9160/9164/10167/10365) ☒ Yes ☐ No

Please check the appropriate box:

1.

- ☐ a. Banks
☐ b. Offshore Banking Units
☐ c. Quasi-Banks
☐ d. Trust Entities
☐ e. Non-Stock Savings and Loan Associations
☐ f. Pawnshops
☐ g. Foreign Exchange Dealers
☐ h. Money Changers
☐ i. Remittance Agents
☐ j. Electronic Money Issuers
☐ k. Financial Institutions which Under Special Laws are subject to Bangko Sentral ng Pilipinas' (BSP) supervision and/or regulation, including their subsidiaries and affiliates.

2.

- ☒ a. Insurance Companies
☐ b. Insurance Agents
☐ c. Insurance Brokers
☐ d. Professional Reinsurers
☐ e. Reinsurance Brokers
☐ f. Holding Companies
☐ g. Holding Company Systems
☐ h. Pre-need Companies
☐ i. Mutual Benefit Association
☐ j. All Other Persons and entities supervised and/or regulated by the Insurance Commission (IC)

3.

- ☐ a. Securities Dealers
☐ b. Securities Brokers
☐ c. Securities Salesman
☐ d. Investment Houses
☐ e. Investment Agents and Consultants
☐ f. Trading Advisors
☐ g. Other entities managing Securities or rendering similar services
☐ h. Mutual Funds or Open-end Investment Companies
☐ i. Close-end Investment Companies
☐ j. Common Trust Funds or Issuers and other similar entities
☐ k. Transfer Companies and other similar entities
☐ l. Other entities administering or otherwise dealing in currency, commodities or financial derivatives based there on
☐ m. Entities administering or otherwise dealing in valuable objects
☐ n. Entities administering or otherwise dealing in cash Substitutes and other similar monetary instruments or property supervised and/or regulated by the Securities and Exchange Commission (SEC)

4. ☐

Jewelry dealers in precious metals, who, as a business, trade in precious metals

5. ☐

Jewelry dealers in precious stones, who, as a business, trade in precious stone

6.

Company service providers which, as a business, provide any of the following services to third parties:

- ☐ a. acting as a formation agent of juridical persons
☐ b. acting as (or arranging for another person to act as) a director or corporate secretary of a company, a partner of a partnership, or a similar position in relation to other juridical persons
☐ c. providing a registered office, business address or accommodation, correspondence or administrative address for a company, a partnership or any other legal person or arrangement
☐ d. acting as (or arranging for another person to act as) a nominee shareholder for another person

7. Persons who provide any of the following services:

- ☐ a. managing of client money, securities or other assets
☐ b. management of bank, savings or securities accounts
☐ c. organization of contributions for the creation, operation or management of companies
☐ d. creation, operation or management of juridical persons or arrangements, and buying and selling business entities

8. ☐ None of the above

Describe nature of business:

B. Has the Corporation complied with the requirements on Customer Due Diligence (CDD) or Know Your Customer (KYC), record-keeping, and submission of reports under the AMLA, as amended, since the last filing of its GIS?

☒ Yes ☐ No

GENERAL INFORMATION SHEET
STOCK CORPORATION

===== **PLEASE PRINT LEGIBLY** =====

CORPORATE NAME: CHARTER PING AN INSURANCE CORPORATION UNDER THE TRADE NAMES: OF "AXA PHILIPPINES", "PHILIPPINE AXA", and "AXA"

CAPITAL STRUCTURE

AUTHORIZED CAPITAL STOCK **1,000,000,000.00**

	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (Php) (No. of shares X Par/Stated Value)
	Common	10,000,000	100.00	1,000,000,000.00
TOTAL		10,000,000	TOTAL P	1,000,000,000.00

SUBSCRIBED CAPITAL

FILIPINO	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	NUMBER OF SHARES IN THE HANDS OF THE PUBLIC **	PAR/STATED VALUE	AMOUNT (Php)	% OF OWNERSHIP
	6	Common	5,124,990		100.00	512,499,000.00	100%
TOTAL			5,124,990	TOTAL	TOTAL P	512,499,000.00	100%

FOREIGN (INDICATE BY NATIONALITY)	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	NUMBER OF SHARES IN THE HANDS OF THE PUBLIC **	PAR/STATED VALUE	AMOUNT (Php)	% OF OWNERSHIP
Indian	1	Common	5		100.00	500.00	
Belge	1	Common	5		100.00	500.00	
Percentage of Foreign Equity :		TOTAL	10	TOTAL	TOTAL P	1,000.00	0.00
TOTAL SUBSCRIBED P						512,500,000.00	100%

PAID-UP CAPITAL

FILIPINO	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (Php)	% OF OWNERSHIP
	6	Common	5,124,990	100.00	5,124,990	100.00%
TOTAL			5,124,990	TOTAL P	512,499,000	100.00
FOREIGN (INDICATE BY NATIONALITY)	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (Php)	% OF OWNERSHIP
Indian	1	Common	5	100.00	500.00	
Belge	1	Common	5	100.00	500.00	
TOTAL			10	TOTAL P	1,000.00	0.00
TOTAL PAID-UP P					512,500,000.00	100.00

NOTE: USE ADDITIONAL SHEET IF NECESSARY

* Common, Preferred or other classification

** Other than Directors, Officers, Shareholders owning 10% of outstanding shares.

GENERAL INFORMATION SHEET

STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME: CHARTER PING AN INSURANCE CORPORATION UNDER THE TRADE NAMES: OF "AXA PHILIPPINES", "PHILIPPINE AXA", and "AXA"

DIRECTORS / OFFICERS

NAME/CURRENT RESIDENTIAL ADDRESS	NATIONALITY	INC'R	BOARD	GENDER	STOCK HOLDER	OFFICER	EXEC. COMM.	TAX IDENTIFICATION NUMBER
1. Solomon S. Cua [REDACTED]	Filipino	N	C	M	Y	Chairman	C,A/M, N/C, C/C	[REDACTED]
2. Arthur V. Ty [REDACTED]	Filipino	N	M	M	Y	Vice Chairman	M	[REDACTED]
3. Fernand Antonio A. Tansingco [REDACTED]	Filipino	N	M	M	Y		M	[REDACTED]
4. Rahul Hora [REDACTED]	Indian	N	M	M	Y	President & CEO	M, N/M	[REDACTED]
5. Francoise Gilles [REDACTED]	Belge	N	M	F	Y		A/M	[REDACTED]
6. Jaime C. Laya [REDACTED]	Filipino	N	I	M	Y		A/C,N /M	[REDACTED]
7. Lope M. Yuvienco [REDACTED]	Filipino	N	I	M	Y		A/M,C /M	[REDACTED]
8. Wendy T. Saez-Co [REDACTED]	Filipino	N		F	N	Treasurer		[REDACTED]
9. Angelica S. Reyes [REDACTED]	Filipino	N		F	N	Corporate Secretray		[REDACTED]
10. Jill Marie B. Lopez [REDACTED]	Filipino	N		F	N	Assistant Corporate Secretary		[REDACTED]
11. Aric Justin Ty [REDACTED]	Filipino	N		M	N	Assistant Corporate Secretary		[REDACTED]

INSTRUCTION:

FOR SEX COLUMN, PUT "F" FOR FEMALE, "M" FOR MALE.

FOR BOARD COLUMN, PUT "C" FOR CHAIRMAN, "M" FOR MEMBER, "I" FOR INDEPENDENT DIRECTOR.

FOR INC'R COLUMN, PUT "Y" IF AN INCORPORATOR, "N" IF NOT.

FOR STOCKHOLDER COLUMN, PUT "Y" IF A STOCKHOLDER, "N" IF NOT.

FOR OFFICER COLUMN, INDICATE PARTICULAR POSITION IF AN OFFICER, FROM VP UP INCLUDING THE POSITION OF THE TREASURER, SECRETARY, COMPLIANCE OFFICER AND/OR ASSOCIATED PERSON.

FOR EXECUTIVE COMMITTEE, INDICATE "C" IF MEMBER OF THE COMPENSATION COMMITTEE; "A" FOR AUDIT COMMITTEE; "N" FOR NOMINATION AND ELECTION COMMITTEE. ADDITIONALLY WRITE "C" AFTER SLASH IF CHAIRMAN AND "M" IF MEMBER.

GENERAL INFORMATION SHEET

STOCK CORPORATION

PLEASE PRINT LEGIBLY

CORPORATE NAME:		CHARTER PING AN INSURANCE CORPORATION UNDER THE TRADE NAMES: OF "AXA PHILIPPINES", "PHILIPPINE AXA", and "AXA"						
DIRECTORS / OFFICERS								
NAME/CURRENT RESIDENTIAL ADDRESS	NATIONALITY	INC'R	BOARD	GENDER	STOCK HOLDER	OFFICER	EXEC. COMM.	TAX IDENTIFICATION NUMBER
11. Ronaldo C. San Jose [REDACTED]	Filipino	N		M	N	Chief Financial Officer		[REDACTED]
12. Maria Faustina B. Raymundo [REDACTED]	Filipino	N		F	N	Chief Distribution Officer		[REDACTED]
13. Maria Amor T. Balagtas [REDACTED]	Filipino	N		F	N	Chief Customer Officer		[REDACTED]
14. Nicasio F. Rollan III [REDACTED]	Filipino	N		M	N	Chief of Commercial Business		[REDACTED]
15. Jaspreet Kakar [REDACTED]	Indian	N		M	N	Chief Human Resources Officer		[REDACTED]
16. Alok Kumar Arunkumar Rungta [REDACTED]	Indian	N		M	N	Chief of Retail Propositions		[REDACTED]
17. Aleta Y. Lepatan [REDACTED]	Filipino	N		F	N	Chief Operations Officer		[REDACTED]
18. Delfin Jay M. Sabido, IX [REDACTED]	Filipino	N		M	N	Chief Transformation Officer		[REDACTED]
INSTRUCTION: FOR SEX COLUMN, PUT "F" FOR FEMALE, "M" FOR MALE. FOR BOARD COLUMN, PUT "C" FOR CHAIRMAN, "M" FOR MEMBER, "I" FOR INDEPENDENT DIRECTOR. FOR INC'R COLUMN, PUT "Y" IF AN INCORPORATOR, "N" IF NOT. FOR STOCKHOLDER COLUMN, PUT "Y" IF A STOCKHOLDER, "N" IF NOT. FOR OFFICER COLUMN, INDICATE PARTICULAR POSITION IF AN OFFICER, FROM VP UP INCLUDING THE POSITION OF THE TREASURER, SECRETARY, COMPLIANCE OFFICER AND/OR ASSOCIATED PERSON. FOR EXECUTIVE COMMITTEE, INDICATE "C" IF MEMBER OF THE COMPENSATION COMMITTEE; "A" FOR AUDIT COMMITTEE; "N" FOR NOMINATION AND ELECTION COMMITTEE. ADDITIONALLY WRITE "C" AFTER SLASH IF CHAIRMAN AND "M" IF MEMBER.								

STOCK CORPORATION						
===== PLEASE PRINT LEGIBLY =====						
CORPORATE NAME:		CHARTER PING AN INSURANCE CORPORATION UNDER THE TRADE NAMES: OF "AXA PHILIPPINES", "PHILIPPINE AXA", and "AXA"				
TOTAL NUMBER OF STOCKHOLDERS:		8		NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH:		1
TOTAL ASSETS BASED ON LATEST AUDITED FINANCIAL STATEMENTS:		13,179,711,407.00				
STOCKHOLDER'S INFORMATION						
NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED				AMOUNT PAID (PhP)	TAX IDENTIFICATION NUMBER
	TYPE	NUMBER	AMOUNT (PhP)	% OF OWNERSHIP		
1. Philippine AXA Life Insurance Corporation Filipino [REDACTED] [REDACTED]	Common	5,124,965	512,496,500.00	100.00%	512,496,500.00	[REDACTED]
	TOTAL	5,124,965	512,496,500.00			
2. Solomon S. Cua Filipino [REDACTED]	Common	5	500.00		500.00	[REDACTED]
	TOTAL	5	500.00			
3. Arthur V. Ty Filipino [REDACTED]	Common	5	500.00		500.00	[REDACTED]
	TOTAL	5	500.00			
4. Fernand Antonio A. Tansingco Filipino [REDACTED]	Common	5	500.00		500.00	[REDACTED]
	TOTAL	5	500.00			
5. Rahul Hora Indian [REDACTED]	Common	5	500.00		500.00	[REDACTED]
	TOTAL	5	500.00			
6. Francoise Gilles Belge [REDACTED]	Common	5	500.00		500.00	[REDACTED]
	TOTAL	5	500.00			
7. Jaime C. Laya Filipino [REDACTED]	Common	5	500.00		500.00	[REDACTED]
	TOTAL	5	500.00			
8. Lope M. Yuvienco Filipino [REDACTED]	Common	5	500.00		500.00	[REDACTED]
	TOTAL	5	500.00			
TOTAL AMOUNT OF SUBSCRIBED CAPITAL				100.00%	512,500,000.00	
TOTAL AMOUNT OF PAID-UP CAPITAL						
INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS						
Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.						

GENERAL INFORMATION SHEET

STOCK CORPORATION

PLEASE PRINT LEGIBLY

CORPORATE NAME: CHARTER PING AN INSURANCE CORPORATION UNDER THE TRADE NAMES: OF "AXA PHILIPPINES", "PHILIPPINE AXA", and "AXA"			
1. INVESTMENT OF CORPORATE FUNDS IN ANOTHER CORPORATION		AMOUNT (PhP)	DATE OF BOARD RESOLUTION
1.1 STOCKS		25,254,019.00	
1.2 BONDS/COMMERCIAL PAPER (Issued by Private Corporations)		571,867,430.08	
1.3 LOANS/ CREDITS/ ADVANCES		N/A	
1.4 GOVERNMENT TREASURY BILLS		588,289,475.35	
1.5 OTHERS		1,026,650.00	
2. INVESTMENT OF CORPORATE FUNDS IN ACTIVITIES UNDER ITS SECONDARY PURPOSES (PLEASE SPECIFY:)		DATE OF BOARD RESOLUTION	DATE OF STOCKHOLDERS RATIFICATION
3. TREASURY SHARES		NO. OF SHARES	% AS TO THE TOTAL NO. OF SHARES ISSUED
		N/A	
4. UNRESTRICTED/UNAPPROPRIATED RETAINED EARNINGS AS OF END OF LAST FISCAL YEAR			
5. DIVIDENDS DECLARED DURING THE IMMEDIATELY PRECEDING YEAR:			
TYPE OF DIVIDEND		AMOUNT (PhP)	DATE DECLARED
5.1 CASH		0.00	N/A
5.2 STOCK		0.00	N/A
5.3 PROPERTY		0.00	N/A
TOTAL		₱0.00	N/A
6. ADDITIONAL SHARES ISSUED DURING THE PERIOD:			
DATE	NO. OF SHARES	AMOUNT	
N.A.	N.A.	N.A.	
SECONDARY LICENSE/REGISTRATION WITH SEC AND OTHER GOV'T AGENCY:			
NAME OF AGENCY:	SEC	BSP	IC
TYPE OF LICENSE/REGN.			Certificate of Authority No. 2019-40-R
DATE ISSUED:			1-Jan-19
DATE STARTED OPERATIONS:			21-Jun-60
TOTAL ANNUAL COMPENSATION OF DIRECTORS DURING THE PRECEDING FISCAL YEAR (in PhP)	TOTAL NO. OF OFFICERS	TOTAL NO. OF RANK & FILE EMPLOYEES	TOTAL MANPOWER COMPLEMENT
₱4,494,000.00	83	509	592
NOTE: USE ADDITIONAL SHEET IF NECESSARY			

I, JILL MARIE B. LOPEZ, Assistant Corporate Secretary of **CHARTER PING AN INSURANCE CORPORATION UNDER THE TRADE NAMES: OF "AXA PHILIPPINES", "PHILIPPINE AXA", and "AXA"** declare under penalty of perjury that all matters set forth in this GIS have been made in good faith, duly verified by me and to the best of my knowledge and belief are true and correct.

I hereby attest that all the information in this GIS are being submitted in compliance with the rules and regulations of the Securities and Exchange Commission (SEC) the collection, processing, storage and sharing of said information being necessary to carry out the functions of public authority for the performance of the constitutionally and statutorily mandated functions of the SEC as a regulatory agency.

I further attest that I have been authorized by the Board of Directors/Trustees to file this GIS with the SEC.


I understand that the Commission may place the corporation under delinquent status for failure to submit the reportorial requirements three (3) times, consecutively or intermittently, within a period of five (5) years (Section 177, RA No. 11232).

Done this JUN 22 2020 day of MAKATI CITY, 20 in .


JILL MARIE B. LOPEZ
(Signature over printed name)

SUBSCRIBED AND SWORN TO before me in MAKATI CITY on JUN 22 2020 by affiant who personally appeared before me and exhibited to me her competent evidence of identity consisting of Philippine Passport No. P8023070A issued at DFA MANILA valid until 19 JULY 2028.

Doc. No.: 306
Page No.: 63
Book No.: III
Series Of: 2020


PATRICK DANIEL O. LADRADO
Notary Public
Until December 31, 2021
Suite 1212 Herrera Tower
V.A Rufino cor. Valero Sts., Makati City
Commission No. M-75; Roll No. 70985
PTR No. 8116074/ 01.02.20; City of Makati
IBP No. 100630/ 01.02.20; Iloilo
MCLE Compliance No. VI-0023823/04, 17 19

BENEFICIAL OWNERSHIP DECLARATION

SEC REGISTRATION NUMBER:

17085

CHARTER PING AN INSURANCE CORPORATION UNDER THE TRADE NAMES: OF "AXA PHILIPPINES", "PHILIPPINE AXA", and "AXA"

CORPORATE NAME:

Instructions:

1. Identify the Beneficial Owner/s of the corporation as described in the Categories of Beneficial Ownership in items A to I below. List down as many as you can identify. You may use an additional sheet if necessary.
2. Fill in the required information on the beneficial owner in the fields provided for.
3. In the "Category of Beneficial Ownership" column, indicate the letter(s) corresponding thereto. In the event that the person identified as beneficial owner falls under several categories, indicate all the letters corresponding to such categories.
4. If the category is under letter "I", indicate the position held (i.e., Director/Trustee, President, Chief Executive Officer, Chief Operating Officer, Chief Financial Officer, etc.).
5. Do not leave any item blank. Write "N/A" if the information required is not applicable or "NONE" if non-existent.

"Beneficial Owner" refers to any natural person(s) who ultimately own(s) or control(s) or exercise(s) ultimate effective control over the corporation. This definition covers the natural person(s) who actually own or control the corporation as distinguished from the legal owners. Such beneficial ownership may be determined on the basis of the following:

Category

Description

- A Natural person(s) owning, directly or indirectly or through a chain of ownership, at least twenty-five percent (25%) of the voting rights, voting shares or capital of the reporting corporation.
- B Natural person(s) who exercise control over the reporting corporation, alone or together with others, through any contract, understanding, relationship, intermediary or tiered entity.
- C Natural person(s) having the ability to elect a majority of the board of directors/trustees, or any similar body, of the corporation.
- D Natural person(s) having the ability to exert a dominant influence over the management or policies of the corporation.
- E Natural person(s) whose directions, instructions, or wishes in conducting the affairs of the corporation are carried out by majority of the members of the board of directors of such corporation who are accustomed or under an obligation to act in accordance with such person's directions, instructions or wishes.
- F Natural person(s) acting as stewards of the properties of corporations, where such properties are under the care or administration of said natural person(s).
- G Natural person(s) who actually own or control the reporting corporation through nominee shareholders or nominee directors acting for or on behalf of such natural persons.
- H Natural person(s) ultimately owning or controlling or exercising ultimate effective control over the corporation through other means not falling under any of the foregoing categories.
- I Natural person(s) exercising control through positions held within a corporation (i.e., responsible for strategic decisions that fundamentally affect the business practices or general direction of the corporation such as the members of the board of directors or trustees or similar body within the corporation; or exercising executive control over the daily or regular affairs of the corporation through a senior management position). This category is only applicable in exceptional cases where no natural person is identifiable who ultimately owns or exerts control over the corporation, the reporting corporation having exhausted all reasonable means of identification and provided there are no grounds for suspicion.

COMPLETE NAME (Surname, Given Name, Middle Name, Name Extension (i.e., Jr., Sr., III))	SPECIFIC RESIDENTIAL ADDRESS	NATIONALITY	DATE OF BIRTH	TAX IDENTIFICATION NO.	% OF OWNERSHIP ¹ / % OF VOTING RIGHTS ²	TYPE OF BENEFICIAL OWNER ³ Direct (D) or Indirect (I)	CATEGORY OF BENEFICIAL OWNERSHIP
Solomon S. Cua	[REDACTED]	Filipino	[REDACTED]	[REDACTED]	N/A	I	I- Chairman, Board of Directors
Arthur V. Ty	[REDACTED]	Filipino	[REDACTED]	[REDACTED]	N/A	I	I - Vice Chairman, Board of Directors

Fernand Antonio A. Tansingco	[REDACTED]	Filipino	[REDACTED]	[REDACTED]	N/A	I	I- Member Board of Directors
Rahul Hora	[REDACTED]	Filipino	[REDACTED]	[REDACTED]	N/A	I	I- President and CEO
Francoise Gilles	[REDACTED]	Belge	[REDACTED]	[REDACTED]	N/A	I	I- Member Board of Directors
Jaime C. Laya	[REDACTED]	Filipino	[REDACTED]	[REDACTED]	N/A	I	I- Independent Director Board of Directors
Lope M. Yuvenco	[REDACTED]	Filipino	[REDACTED]	[REDACTED]	N/A	I	I- Independent Director Board of Directors

Note: This page is not for uploading on the SEC iView.

¹ For Stock Corporations.

² For Non-Stock Corporations.

³ For Stock Corporations.